

An-Heid Ling
Form 3
February 20, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â An-Heid Ling

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/11/2019

3. Issuer Name **and** Ticker or Trading Symbol
Milacron Holdings Corp. [MCRN]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
President, Mold-Masters

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

C/O MILACRON HOLDINGS
CORP.,Â 10200 ALLIANCE
ROAD, SUITE 200

(Street)

CINCINNATI,Â OHÂ 45242

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, par value \$0.01 per share
("Common Stock")

148,906

D

Â

Common Stock

4,750 ⁽¹⁾

D

Â

Common Stock

16,252 ⁽²⁾

D

Â

Common Stock

11,989 ⁽³⁾

D

Â

Common Stock

33,709 ⁽⁴⁾

D

Â

Common Stock

40,153 ⁽⁵⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not**

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|---|---|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Option (right to buy) | 07/09/2015 | 05/08/2023 | Common Stock, par value \$0.01 per share | 316,242 | \$ 6.64 | D | Â |
| Stock Option (right to buy) | Â <u>(6)</u> | 06/24/2025 | Common Stock, par value \$0.01 per share | 65,491 | \$ 20 | D | Â |
| Stock Option (right to buy) | Â <u>(7)</u> | 03/01/2027 | Common Stock, par value \$0.01 per share | 16,935 | \$ 18.42 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| An-Heid Ling C/O MILACRON HOLDINGS CORP. 10200 ALLIANCE ROAD, SUITE 200 CINCINNATI, OH 45242 | Â | Â | Â President, Mold-Masters | Â |

Signatures

/s/Hugh C. O'Donnell, as Attorney-in-Fact for Ling
An-Heid

02/20/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Performance stock units - each performance stock unit represents a contingent right to receive one share of common stock. The performance stock units will vest and become exercisable at the end of the 3 calendar year period 2017-2019 based upon the satisfaction

Edgar Filing: An-Heid Ling - Form 3

of certain performance criteria.

Performance stock units - each performance stock unit represents a contingent right to receive one share of common stock. The

- (2) performance stock units will vest and become exercisable at the end of the 3 calendar year period 2018-2020 based upon the satisfaction of certain performance criteria.
- (3) Restricted stock units - each restricted stock unit represents a contingent right to receive one share of common stock. The restricted stock units vest in equal annual installments of 33 1/3% on each of the first three anniversary dates of the grant date, March 1, 2017.
- (4) Restricted stock units - each restricted stock unit represents a contingent right to receive one share of common stock. The restricted stock units vest in equal annual installments of 33 1/3% on each of the first three anniversary dates of the grant date, October 30, 2017.
- (5) Restricted stock units - each restricted stock unit represents a contingent right to receive one share of common stock. The restricted stock units vest in equal annual installments of 33 1/3% on each of the first three anniversary dates of the grant date, March 1, 2018.
- (6) The options will vest and become exercisable in equal annual installments of 25% of the shares over a 4-year period on each anniversary date of the grant date, June 24, 2015, subject to the participant's continued service through each applicable vesting date.
- (7) The options will vest and become exercisable in equal annual installments of 25% of the shares over a 4-year period on each anniversary date of the grant date, March 1, 2017, subject to the participant's continued service through each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.