

INTL FCSTONE INC.
Form 8-K
December 02, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): 12/2/2015 (11/30/2015)

INTL FCStone Inc.
(Exact name of registrant as specified in its charter)

Delaware	000-23554	59-2921318
(State of Incorporation)	(Commission File Number)	(IRS Employer ID No.)
708 Third Avenue, Suite 1500, New York, NY 10017		
(Address of principal executive offices, including Zip Code)		
(212) 485-3500		
(Registrant's telephone number, including area code)		

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
 - ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
 - ☐ Pre-commencement communications pursuant to rule 14d-2(b) under the Exchange Act 17 CFR 240.14d-2(b))
 - ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 7.01. Regulation FD Disclosure

INTL FCStone Inc. is providing The Audited Statement of Financial Condition of INTL FCStone Financial Inc. as of September 30, 2015. The financial statement is attached hereto as Exhibit 99.1.

The information included in The Audited Statement of Financial Condition of INTL FCStone Financial Inc. as of September 30, 2015 is furnished under Item 7.01 “Regulation FD Disclosure.” INTL FCStone Financial Inc. is subject to regulation by the Commodity Futures Trading Commission (“CFTC”) and as such has made The Audited Statement of Financial Condition available on its website, www.intlfcstone.com, in accordance with CFTC Regulation 1.55(o)(1)(v).

This information shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the “Exchange Act”), or incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

Item 9.01. Financial Statements and Exhibits

Exhibit 99.1 The Audited Statement of Financial Condition of INTL FCStone Financial Inc. as of September 30, 2015.

Signature

Pursuant to the Requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the Undersigned hereunto duly authorized.

December 2, 2015
(Date)

INTL FCStone Inc.
(Registrant)
/s/ WILLIAM J. DUNAWAY
William J. Dunaway
Chief Financial Officer