### Edgar Filing: NICHOLSON JAMES BRUCE - Form 4

| NICHOLSO<br>Form 4<br>January 04, 2                           | N JAMES BRUG<br>2019                    | CE  | -              |  |  |  |   |  |                          |
|---|---|---|----------------|--|--|--|---|--|--------------------------|
| FORM  |   |   |                |  |  |  |   |  | PPROVAL                  |
| -   | UNITED                                  | STATES                                    |                | RITIES An ashington                              |  |  | E COMMISSION  | N OMB<br>Number:   | 3235-0287                |
| Check th<br>if no long<br>subject to<br>Section 1<br>Form 4 c | ser <b>STATEN</b>                       | IENT OI                                   | F CHAN         | NGES IN<br>SECUI                                 |  | ICIAL O  | WNERSHIP OF   | Expires:<br>Estimated<br>burden hou<br>response                      | urs per                  |
| Form 5<br>obligatio<br>may com<br><i>See</i> Instr<br>1(b).   | ns Section 17(<br>uction                | a) of the l                               | Public U       | Jtility Hol                                      | ding Con   |  | nge Act of 1934,<br>of 1935 or Section<br>940                                       |  |                          |
| (Print or Type l  | (kesponses)                             |   |                |  |  |  |   |  |                          |
|   | Address of Reporting                    |   | Symbol         | er Name <b>and</b>                               |  | -  | 5. Relationship o<br>Issuer   | of Reporting Per   | rson(s) to               |
| ( <b>T</b> )  |   |   |                | NERGY  | -  | ر،<br>ا  | (Che  | eck all applicabl  | e)                       |
| (Last)  | (First) (1<br>RGY PLAZA                 | Middle)                                   |                | of Earliest T<br>Day/Year)<br>2019               | ransaction   |  | X_ Director<br>Officer (giv<br>below)   |  | % Owner<br>her (specify  |
|   | (Street)                                |   |                | endment, D<br>onth/Day/Yea                       | -  | 1  | 6. Individual or .<br>Applicable Line)<br>_X_ Form filed by                         | One Reporting P  | erson                    |
| DETROIT,  | MI 48226                                |   |                |  |  |  | Person  | More than One R  | eporting                 |
| (City)  | (State)                                 | (Zip)                                     | Tab            | ole I - Non-I                                    | Derivative   | Securities A   | Acquired, Disposed  | of, or Beneficia   | lly Owned                |
| 1.Title of<br>Security<br>(Instr. 3)                          | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deem<br>Execution<br>any<br>(Month/Da | ed<br>Date, if | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V | 4. Securiti<br>nAcquired<br>Disposed<br>(Instr. 3, 4 | es<br>(A) or<br>of (D)                                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned                                 | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect |
| Reminder: Rer   | oort on a separate line                 | for each cl                               | ass of sec     | urities here                                     | ficially own   | ned directly   | or indirectly   |  |                          |
| Kenninder, Kep  | on a separate line                      | , for each cr                             |                | unities belle                                    | Perso<br>inform<br>requir                            | ns who rest<br>nation cont<br>ed to resp<br>ys a curre | spond to the colle<br>tained in this form<br>ond unless the fo<br>ntly valid OMB co | n are not<br>rm  | SEC 1474<br>(9-02)       |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5. Number       | 6. Date Exercisable and | 7. Title and Amount of | 8. Pric |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onof Derivative | Expiration Date         | Underlying Securities  | Deriva  |
| Security    | or Exercise |                     | any                | Code       | Securities      | (Month/Day/Year)        | (Instr. 3 and 4)       | Securi  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Acquired        |                         |                        | (Instr. |

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|                                       | Derivative<br>Security |            |      |   | (A) or<br>Dispose<br>(D)<br>(Instr. 3<br>and 5) |     |                     |                    |                 |  |        |
|---------------------------------------|------------------------|------------|------|---|---|-----|---------------------|--------------------|-----------------|--|--------|
|                                       |                        |            | Code | V | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |        |
| Phantom<br>Stock                      | <u>(1)</u>             | 01/02/2019 | А    |   | 1,205   |     | (2)                 | (2)                | Common<br>Stock | 1,205                                  | \$ 107 |
| Phantom<br>Stock<br>(Def Dir<br>Fees) | <u>(1)</u>             | 01/02/2019 | А    |   | 168   |     | <u>(4)</u>          | <u>(4)</u>         | Common<br>Stock | 168                                    | \$ 107 |

## **Reporting Owners**

| Reporting Owner Name / Address                                 |          |           |         |       |
|--|----------|-----------|---------|-------|
|  | Director | 10% Owner | Officer | Other |
| NICHOLSON JAMES BRUCE<br>ONE ENERGY PLAZA<br>DETROIT, MI 48226 | Х        |           |         |       |
| Signatures   |          |           |         |       |
| /s/ Timothy E. Kraepel,<br>Attorney-in-Fact                    |          | 01/04/20  | 019     |       |
| **Signature of Reporting Person                                |          | Date      |         |       |
| E 1 11 ( D   |          |           |         |       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 for 1
- (2) The phantom shares are immediately vested, but subject to a 3 year deferral. The director has a one time option to defer payment until their termination from the board.
- (3) Includes phantom stock acquired through the reinvestment feature under the DTE Energy Company Deferred Stock Compensation Plan for Non-Employee Directors.
- (4) Payment of Director Fees. The phantom stock will be settled for cash on a date selected by the reporting person as provided under the plan.
- (5) Includes phantom stock acquired through the dividend reinvestment feature of the DTE Energy Company Plan for Deferring the Payment of Non-Employee Director Fees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.