

Edgar Filing: FIRST FINANCIAL FUND INC - Form NT-NSAR/A

FIRST FINANCIAL FUND INC  
Form NT-NSAR/A  
December 01, 2003

EDGAR Submission NT-NSAR

Securities and Exchange Commission  
Washington, DC 20549

FORM 12b-25

Commission File Number: 811-4605\_\_\_\_\_

NOTIFICATION OF LATE FILING

(Check One):

- Form 10K  
 Form 11-K  
 Form 20-F  
 Form 10-Q  
 Form N-SAR

FOR PERIOD ENDED: \_\_\_September 30, 2003\_\_\_\_\_

Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.

If the notification relates to a portion of the filing checked above, identify the item(s) to which the notification relates:

PART I

Registrant Information

Full Name of Registrant: First Financial Fund,  
Inc.\_\_\_\_\_

Former Name if applicable:

Address of principal executive office: 1680 38th Street Suite 800

City, state and zip code: \_Boulder, CO 80301\_\_\_\_\_

PART II

Rule 12b-25 (b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25 (b), the following should be completed. (Check box if appropriate.)

[ X ]

(a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;

(b) The subject annual report, semi-annual report, transition report on Form 10-K, 20-F, 11-K or Form N-SAR, or portion thereof will be filed on or before the 15th calendar day following the prescribed due date: or the subject quarterly report or transition report on Form 10-Q, or portion thereof will be filed on or before the fifth calendar day following the prescribed due date; and

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(c) The accountant's statement or other exhibit require by Rule 12b-25(c) has been attached if applicable.

PART III  
NARRATIVE

State below in reasonable detail the reasons why Form 10-K, 11-K, 20-F, 10-Q, N-SAR or the transition report portion thereof could not be filed within the prescribed time period. (Attach extra sheets if needed.)

Due to Administrator change mid-year extension is requested to ensure the accuracy of all information within Form N-SAR.

PART IV  
OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification:

Name: Molly Strout  
Telephone: 508-871-9968

(2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the Registrant was required to file such report(s) been filed. If the answer is no, identify report(s).

[YES or NO] YES

(3) Is it anticipated that any significant change in results of operations from the corresponding period over the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

[YES or NO] NO

If so: attach an explanation of the anticipated change both narratively and quantitatively, and if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

First Financial Fund, Inc. \_\_\_\_\_  
(Name of Registrant as Specified in Charter)

Has caused this notification to be signed on its behalf by the undersigned thereunto duly authorized.

Date: November 28, 2003  
By: Carl Johns (Vice President)

Instruction.

The form may be signed by an executive officer of the registrant or by another duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature.

