

Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

ROYAL BANK OF SCOTLAND GROUP PLC  
Form 6-K  
November 05, 2002

FORM 6-K

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SECURITIES AND EXCHANGE COMMISSION  
Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16  
of the Securities Exchange Act of 1934  
5 November 2002

The Royal Bank of Scotland Group plc

42 St Andrew Square

Edinburgh EH2 2YE

Scotland

Indicate by check mark whether the registrant files or will file annual reports  
under cover of Form 20-F or Form 40-F.

Form 20-F   
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Form 40-F \_\_\_\_\_

Indicate by check mark whether the registrant by furnishing the information  
contained in this Form is also thereby furnishing the information to the  
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of  
1934.

Yes \_\_\_\_\_

No   
\_\_\_\_\_

Rule 12g3-2(b): 82 -  
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The following information was issued as  
Company announcements, in London, England  
and is furnished pursuant to General

# Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

Instruction B to the General Instructions  
to Form 6-K:

## SCHEDULE 5

### BLOCKLISTING SIX MONTHLY RETURN

1. Name of company

The Royal Bank of Scotland Group plc

2. Name of scheme

RBSG Sharesave Scheme

3. Period of return:

From 1 April 2002 to 30 September 2000

4. Number and class of shares(s)

(amount of stock/debt security)

not issued under scheme

20,241,519

5. Number of shares issued/allotted

under scheme during period

3,946,876

6. Balance under scheme not yet issued/allotted

at end of period

16,294,643

7. Number and class of share(s)

(amount of stock/debt securities)

originally listed and the date of admission

15,000,000 Ordinary Shares of 25p each - Block Listing granted 13 January 1999

20,000,000 Ordinary Shares of 25p each - Block Listing granted 24 May 2001

Please confirm total number of shares in issue at the end of the period

in order for us to update our records

2,892,100,014

Contact for queries: Address: The Royal Bank of Scotland plc

42 St Andrew Square

Name: Jan Cargill Edinburgh

EH2 2YE

Telephone: 0131 523 4017

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SCHEDULE 5

BLOCKLISTING SIX MONTHLY RETURN

1. Name of company

The Royal Bank of Scotland Group plc

2. Name of scheme

RBSG Executive Share Option Scheme

3. Period of return:

From 1 April 2002 to 30 September 2002

4. Number and class of shares(s)  
(amount of stock/debt security)  
not issued under scheme

6,627,208

5. Number of shares issued/allotted  
under scheme during period

593,083

6. Balance under scheme not yet issued/allotted  
at end of period

6,034,125

7. Number and class of share(s)  
(amount of stock/debt securities)  
originally listed and the date of admission

5,000,000 Ordinary Shares of 25p each - Block Listing granted 13 January 1999

5,000,000 Ordinary Shares of 25p each - Block Listing granted 24 May 2001

Please confirm total number of shares in issue at the end of the period  
in order for us to update our records

2,892,100,014

Contact for queries: Address: The Royal Bank of Scotland plc

42 St Andrew Square

Name: Jan Cargill Edinburgh

EH2 2YE

Telephone: 0131 523 4017

SCHEDULE 5

BLOCKLISTING SIX MONTHLY RETURN

1. Name of company

## Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

The Royal Bank of Scotland Group plc

2. Name of scheme

NatWest Sharesave Scheme

3. Period of return:

From 1 April 2002 to 30 September 2002

4. Number and class of shares(s)  
(amount of stock/debt security)  
not issued under scheme

20,548,236

5. Number of shares issued/allotted  
under scheme during period

261,715

6. Balance under scheme not yet issued/allotted  
at end of period

20,286,521

7. Number and class of share(s)  
(amount of stock/debt securities)  
originally listed and the date of admission

23,000,000 Ordinary Shares of 25p each - Block Listing granted 24 May 2001

Please confirm total number of shares in issue at the end of the period  
in order for us to update our records

2,892,100,014

Contact for queries: Address: The Royal Bank of Scotland plc  
42 St Andrew Square  
Name: Jan Cargill Edinburgh  
EH2 2YE  
Telephone: 0131 523 4017

### SCHEDULE 5

#### BLOCKLISTING SIX MONTHLY RETURN

1. Name of company

The Royal Bank of Scotland Group plc

2. Name of scheme

NatWest Executive Share Option Scheme

3. Period of return:

## Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

From 1 April 2002 to 30 September 2002

4. Number and class of shares(s)  
(amount of stock/debt security)  
not issued under scheme

4,652,088

5. Number of shares issued/allotted  
under scheme during period

1,789,231

6. Balance under scheme not yet issued/allotted  
at end of period

2,862,857

7. Number and class of share(s)  
(amount of stock/debt securities)  
originally listed and the date of admission

5,000,000 Ordinary Shares of 25p each - Block Listing granted 24 May 2001

Please confirm total number of shares in issue at the end of the period  
in order for us to update our records

2,892,100,014

Contact for queries: Address: The Royal Bank of Scotland plc  
42 St Andrew Square  
Name: Jan Cargill Edinburgh  
EH2 2YE  
Telephone: 0131 523 4017

### SCHEDULE 5

#### BLOCKLISTING SIX MONTHLY RETURN

1. Name of company

The Royal Bank of Scotland Group plc

2. Name of scheme

Option 2000 Scheme

3. Period of return:

From 1 April 2002 to 30 September 2002

4. Number and class of shares(s)  
(amount of stock/debt security)  
not issued under scheme

13,997,900

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5. Number of shares issued/allotted  
under scheme during period

2,550

6. Balance under scheme not yet issued/allotted  
at end of period

13,995,350

7. Number and class of share(s)  
(amount of stock/debt securities)  
originally listed and the date of admission

14,000,000 Ordinary Shares of 25p each - Block Listing granted 22 December 2000

Please confirm total number of shares in issue at the end of the period  
in order for us to update our records

2,892,100,014

Contact for queries: Address: The Royal Bank of Scotland plc  
42 St Andrew Square  
Name: Jan Cargill Edinburgh  
EH2 2YE  
Telephone: 0131 523 4017

The Royal Bank of Scotland Group plc (the "Company")  
Scrip Dividend - Application for Admission

1,247,666 new ordinary shares of 25p each in the Company ("New Shares") have  
been allotted as fully paid up under the Company's Scrip Dividend Scheme. These  
New Shares rank pari passu in all respects with the existing shares of the  
Company. Application has been made to the London Stock Exchange and the UK  
Listing Authority for these New Shares to be admitted to the Official List.  
Admission is expected to be effective on 11 October 2002.

Further copies of this announcement are available at the Company's Offices at  
36 St Andrew Square, Edinburgh, EH2 2YB and Waterhouse Square, 138-142 Holborn,  
London, EC1N 2TN, and at the UK Listing Authority's Document Viewing Facility,  
which is situated at: Financial Services Authority, 25 The North Colonnade,  
Canary Wharf, London E14 5HS, Tel. no. 020 7676 1000.

10 October 2002

END

### SCHEDULE 11

#### NOTIFICATION OF INTERESTS OF DIRECTORS AND CONNECTED PERSONS

1) Name of company

## Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

The Royal Bank of Scotland Group plc

2) Name of director

Sir George Ross Mathewson

3) Please state whether notification indicates that it is in respect of holding of the shareholder named in 2 above or in respect of a non-beneficial interest or in the case of an individual holder if it is a holding of that person's spouse or children under the age of 18 or in respect of a non-beneficial interest

Self

4) Name of the registered holder(s) and, if more than one holder, the number of shares held by each of them (if notified)

Sir George Ross Mathewson

5) Please state whether notification relates to a person(s) connected with the Director named in 2 above and identify the connected person(s)

Self

6) Please state the nature of the transaction. For PEP transactions please indicate whether general/single co PEP and if discretionary/non discretionary

Shares issued in lieu of a cash dividend for the interim dividend for the year ending 31 December 2002

7) Number of shares/amount of stock acquired

158

8) Percentage of issued class

-

9) Number of shares/amount of stock disposed

-

10) Percentage of issued class

-

11) Class of security

Ordinary Shares of 25p each

12) Price per share

(pound)15.766

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13) Date of transaction

11 October 2002

14) Date company informed

11 October 2002

15) Total holding following this notification

250,910

16) Total percentage holding of issued class following this notification

-

If a director has been granted options by the company please complete the following boxes

17) Date of grant

18) Period during which or date on which exercisable

19) Total amount paid (if any) for grant of the option

20) Description of shares or debentures involved: class, number

21) Exercise price (if fixed at time of grant) or indication that price is to be fixed at time of exercise

22) Total number of shares or debentures over which options held following this notification

23) Any additional information

24) Name of contact and telephone number for queries

Mr Hew Campbell, Head of Group Secretariat

25) Name and signature of authorised company official responsible for making this notification

Date of Notification 11 October 2002

SCHEDULE 11

NOTIFICATION OF INTERESTS OF DIRECTORS AND CONNECTED PERSONS

1) Name of company



## Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

The Royal Bank of Scotland Group plc

2) Name of director

Iain Samuel Robertson

3) Please state whether notification indicates that it is in respect of holding of the shareholder named in 2 above or in respect of a non-beneficial interest or in the case of an individual holder if it is a holding of that person's spouse or children under the age of 18 or in respect of a non-beneficial interest

Self

4) Name of the registered holder(s) and, if more than one holder, the number of shares held by each of them (if notified)

Iain Samuel Robertson

5) Please state whether notification relates to a person(s) connected with the Director named in 2 above and identify the connected person(s)

Self

6) Please state the nature of the transaction. For PEP transactions please indicate whether general/single co PEP and if discretionary/non discretionary

Shares issued in lieu of a cash dividend for the interim dividend for the year ending 31 December 2002

7) Number of shares/amount of stock acquired

967

8) Percentage of issued class

-

9) Number of shares/amount of stock disposed

-

10) Percentage of issued class

-

11) Class of security

Ordinary Shares of 25p each

12) Price per share

(pound)15.766

## Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

13) Date of transaction

11 October 2002

14) Date company informed

11 October 2002

15) Total holding following this notification

120,904

16) Total percentage holding of issued class following this notification

-

If a director has been granted options by the company please complete the following boxes

17) Date of grant

18) Period during which or date on which exercisable

19) Total amount paid (if any) for grant of the option

20) Description of shares or debentures involved: class, number

21) Exercise price (if fixed at time of grant) or indication that price is to be fixed at time of exercise

22) Total number of shares or debentures over which options held following this notification

23) Any additional information

24) Name of contact and telephone number for queries

Mr Hew Campbell, Head of Group Secretariat

25) Name and signature of authorised company official responsible for making this notification

Date of Notification 11 October 2002

### SCHEDULE 11

#### NOTIFICATION OF INTERESTS OF DIRECTORS AND CONNECTED PERSONS

1) Name of company

The Royal Bank of Scotland Group plc

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2) Name of director

Norman Cardie McLuskie

3) Please state whether notification indicates that it is in respect of holding of the shareholder named in 2 above or in respect of a non-beneficial interest or in the case of an individual holder if it is a holding of that person's spouse or children under the age of 18 or in respect of a non-beneficial interest

Self

4) Name of the registered holder(s) and, if more than one holder, the number of shares held by each of them (if notified)

Norman Cardie McLuskie

5) Please state whether notification relates to a person(s) connected with the Director named in 2 above and identify the connected person(s)\_

Self

6) Please state the nature of the transaction. For PEP transactions please indicate whether general/single co PEP and if discretionary/non discretionary

Shares issued in lieu of a cash dividend for the interim dividend for the year ending 31 December 2002

7) Number of shares/amount of stock acquired

62

8) Percentage of issued class

-

9) Number of shares/amount of stock disposed

-

10) Percentage of issued class

-

11) Class of security

Ordinary Shares of 25p each

12) Price per share

(pound)15.766

13) Date of transaction

## Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

11 October 2002

14) Date company informed

11 October 2002

15) Total holding following this notification

148,911

16) Total percentage holding of issued class following this notification

-

If a director has been granted options by the company please complete the following boxes

17) Date of grant

18) Period during which or date on which exercisable

19) Total amount paid (if any) for grant of the option

20) Description of shares or debentures involved: class, number

21) Exercise price (if fixed at time of grant) or indication that price is to be fixed at time of exercise

22) Total number of shares or debentures over which options held following this notification

23) Any additional information

24) Name of contact and telephone number for queries

Mr Hew Campbell, Head of Group Secretariat

25) Name and signature of authorised company official responsible for making this notification

Date of Notification 11 October 2002

### SCHEDULE 11

#### NOTIFICATION OF INTERESTS OF DIRECTORS AND CONNECTED PERSONS

1) Name of company

The Royal Bank of Scotland Group plc

2) Name of director

## Edgar Filing: ROYAL BANK OF SCOTLAND GROUP PLC - Form 6-K

Norman Cardie McLuskie

- 3) Please state whether notification indicates that it is in respect of holding of the shareholder named in 2 above or in respect of a non-beneficial interest or in the case of an individual holder if it is a holding of that person's spouse or children under the age of 18 or in respect of a non-beneficial interest

Self

- 4) Name of the registered holder(s) and, if more than one holder, the number of shares held by each of them (if notified)

Adam & Company Nominees Limited

- 5) Please state whether notification relates to a person(s) connected with the Director named in 2 above and identify the connected person(s)

Self

- 6) Please state the nature of the transaction. For PEP transactions please indicate whether general/single co PEP and if discretionary/non discretionary

Shares issued in lieu of a cash dividend for the interim dividend for the year ending 31 December 2002

- 7) Number of shares/amount of stock acquired

1,126

- 8) Percentage of issued class

-

- 9) Number of shares/amount of stock disposed

-

- 10) Percentage of issued class

-

- 11) Class of security

Ordinary Shares of 25p each

- 12) Price per share

(pound)15.766

- 13) Date of transaction

11 October 2002

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14) Date company informed

11 October 2002

15) Total holding following this notification

150,037

16) Total percentage holding of issued class following this notification

-

If a director has been granted options by the company please complete the following boxes

17) Date of grant

18) Period during which or date on which exercisable

19) Total amount paid (if any) for grant of the option

20) Description of shares or debentures involved: class, number

21) Exercise price (if fixed at time of grant) or indication that price is to be fixed at time of exercise

22) Total number of shares or debentures over which options held following this notification

23) Any additional information

24) Name of contact and telephone number for queries

Mr Hew Campbell, Head of Group Secretariat

25) Name and signature of authorised company official responsible for making this notification

Date of Notification 11 October 2002

### SCHEDULE 11

#### NOTIFICATION OF INTERESTS OF DIRECTORS AND CONNECTED PERSONS

1) Name of company

The Royal Bank of Scotland Group plc

2) Name of director

Frederick Inglis Watt

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- 3) Please state whether notification indicates that it is in respect of holding of the shareholder named in 2 above or in respect of a non-beneficial interest or in the case of an individual holder if it is a holding of that person's spouse or children under the age of 18 or in respect of a non-beneficial interest

Self

- 4) Name of the registered holder(s) and, if more than one holder, the number of shares held by each of them (if notified)

Frederick Inglis Watt

- 5) Please state whether notification relates to a person(s) connected with the Director named in 2 above and identify the connected person(s)

Self

- 6) Please state the nature of the transaction. For PEP transactions please indicate whether general/single co PEP and if discretionary/non discretionary

Shares issued in lieu of a cash dividend for the interim dividend for the year ending 31 December 2002

- 7) Number of shares/amount of stock acquired

30

- 8) Percentage of issued class

-

- 9) Number of shares/amount of stock disposed

-

- 10) Percentage of issued class

-

- 11) Class of security

Ordinary Shares of 25p each

- 12) Price per share

(pound)15.766

- 13) Date of transaction

11 October 2002

- 14) Date company informed

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11 October 2002

15) Total holding following this notification

7,196

16) Total percentage holding of issued class following this notification

-

If a director has been granted options by the company please complete the following boxes

17) Date of grant

18) Period during which or date on which exercisable

19) Total amount paid (if any) for grant of the option

20) Description of shares or debentures involved: class, number

21) Exercise price (if fixed at time of grant) or indication that price is to be fixed at time of exercise

22) Total number of shares or debentures over which options held following this notification

23) Any additional information

24) Name of contact and telephone number for queries

Mr Hew Campbell, Head of Group Secretariat

25) Name and signature of authorised company official responsible for making this notification

Date of Notification 11 October 2002

### SCHEDULE 11

#### NOTIFICATION OF INTERESTS OF DIRECTORS AND CONNECTED PERSONS

1) Name of company

The Royal Bank of Scotland Group plc

2) Name of director

Frederick Inglis Watt

3) Please state whether notification indicates that it is in respect of



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holding of the shareholder named in 2 above or in respect of a non-beneficial interest or in the case of an individual holder if it is a holding of that person's spouse or children under the age of 18 or in respect of a non-beneficial interest

Spouse

- 4) Name of the registered holder(s) and, if more than one holder, the number of shares held by each of them (if notified)

Mrs Helen Watt

- 5) Please state whether notification relates to a person(s) connected with the Director named in 2 above and identify the connected person(s)

Spouse

- 6) Please state the nature of the transaction. For PEP transactions please indicate whether general/single co PEP and if discretionary/non discretionary

Shares issued in lieu of a cash dividend for the interim dividend for the year ending 31 December 2002

- 7) Number of shares/amount of stock acquired

27

- 8) Percentage of issued class

-

- 9) Number of shares/amount of stock disposed

-

- 10) Percentage of issued class

-

- 11) Class of security

Ordinary Shares of 25p each

- 12) Price per share

(pound)15.766

- 13) Date of transaction

11 October 2002

- 14) Date company informed

11 October 2002

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15) Total holding following this notification

7,223

16) Total percentage holding of issued class following this notification

-

If a director has been granted options by the company please complete the following boxes

17) Date of grant

18) Period during which or date on which exercisable

19) Total amount paid (if any) for grant of the option

20) Description of shares or debentures involved: class, number

21) Exercise price (if fixed at time of grant) or indication that price is to be fixed at time of exercise

22) Total number of shares or debentures over which options held following this notification

23) Any additional information

24) Name of contact and telephone number for queries

Mr Hew Campbell, Head of Group Secretariat

25) Name and signature of authorised company official responsible for making this notification

Date of Notification 11 October 2002

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

5 November 2002

THE ROYAL BANK OF SCOTLAND GROUP plc  
(Registrant)

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By: /s/ H Campbell

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Name: H Campbell

Title: Head of Group Secretariat