Edgar Filing: ARK RESTAURANTS CORP - Form 5

ARK RESTAURANTS CORP Form 5 November 14, 2012 FC

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Check this box to longer subj	UNIT	ED STATES	S SECURITIES AND EXCHANGE (Washington, D.C. 20549	COMMISSION	OMB Number: Expires:	3235 Janua	ry 31,
o Section 16. Form 4 or For obligations nay continue.	m A	NNUAL ST	Estimated average burden hours per response		2005 , 1.0		
<i>Gee</i> Instruction (b). Form 3 Holdin Reported Form 4 Fransactions Reported	Filed	17(a) of the	Section 16(a) of the Securities Exchang Public Utility Holding Company Act o of the Investment Company Act of 19	of 1935 or Section			
ne and Addr LMAN ST	-	ting Person <u>*</u>	2. Issuer Name and Ticker or Trading Symbol ARK RESTAURANTS CORP [ARKR]	5. Relationship of I Issuer (Check	Reporting Pers		
Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended(Month/Day/Year)09/29/2012	X Director Officer (give t below)		Owner er (specify	
BOX 52							
	(Street)		4. If Amendment, Date Original	6. Individual or Joi	nt/Group Rep	orting	

RYE BEACH, NHÂ 03871

X Form Filed by One Reporting Person _ Form Filed by More than One Reporting Person

(check applicable line)

(City)	(State)	(Zip) Tak	ole I - Non-De	rivative Securities Acq	uired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

Filed(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Derivative	Expiration Date	Underlying Securiti
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

(9-02)

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	Derivative Security				or Dispo of (D) (Instr. 3, and 5)					
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Share
Stock Options	\$ 14.4	06/12/2012	06/12/2012	A4	5,000	Â	06/12/2013 <u>(2)</u>	06/12/2022	Common Stock	5,00

Reporting Owners

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
SHULMAN STEVEN P.O. BOX 52 RYE BEACH, NH 03871	ÂX	Â	Â	Â		

Signatures

/s/ Steven Shulman	11/14/2012
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction is being reported on this Form 5 due to a failure to report on an earlier Form 4.

(2) The options shall vest as follows: (a) 2,500 on June 12, 2013; and (b) 2,500 on June 12, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.