

HONEYWELL INTERNATIONAL INC

Form 3

April 28, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Mak Jennifer H

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/25/2016

3. Issuer Name and Ticker or Trading Symbol

HONEYWELL INTERNATIONAL INC [HON]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Vice President and Controller

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

115 TABOR ROAD

(Street)

MORRIS PLAINS, NJ 07950

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

0

D

M

Common Stock

127

I

Held in 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	Â (1)	02/26/2023	Common Stock	2,250	\$ 69.77	D	Â
Employee Stock Options (right to buy)	Â (2)	02/26/2024	Common Stock	5,500	\$ 93.97	D	Â
Employee Stock Options (right to buy)	Â (3)	02/25/2025	Common Stock	5,500	\$ 103.9	D	Â
Employee Stock Options (right to buy)	Â (4)	02/24/2026	Common Stock	7,000	\$ 103.65	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	3,000	\$ (6)	D	Â
Restricted Stock Units	Â (7)	Â (7)	Common Stock	920	\$ (6)	D	Â
Restricted Stock Units	Â (8)	Â (8)	Common Stock	920	\$ (6)	D	Â
Restricted Stock Units	Â (9)	Â (9)	Common Stock	1,170	\$ (6)	D	Â
Supplemental Savings Plan Interests	Â (10)	Â (10)	Common Stock	14	\$ (10)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mak Jennifer H 115 TABOR ROAD MORRIS PLAINS,Â NJÂ 07950	Â	Â	Â Vice President and Controller	Â

Signatures

Jacqueline Katzel for Jennifer
H. Mak 04/28/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 1,000 options fully vested and 1,250 options vesting on February 27, 2017.
- (2) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 2,750 options fully vested and 1,375 options that will vest on each of February 27, 2017 and February 27, 2018.
- (3) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 1,375 options fully vested and 1,375 options that will vest on each of February 26, 2017, February 26, 2018 and February 26, 2019.

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- (4) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 1,750 options that will vest on each of February 25, 2017, February 25, 2018, February 25, 2019 and February 25, 2020.
- (5) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with 990 units vesting on July 26, 2016, 990 units vesting on July 26, 2018 and 1,020 units vesting on July 26, 2020.
- (6) Instrument converts to common stock on a one-for one basis.
- (7) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 27, 2017.
- (8) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 26, 2018.
- (9) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 25, 2019.
- (10) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3 on April 25, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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