

Edgar Filing: CRESCENDO PARTNERS II LP - Form SC 13D/A

CRESCENDO PARTNERS II LP

Form SC 13D/A

March 11, 2004

SCHEDULE 13D  
(Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS TO BE FILED PURSUANT  
TO RULE 13D-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO  
RULE 13D-2(a)

(Amendment No. 2) \*

PIVOTAL CORPORATION

-----  
(Name of Issuer)

Common Shares, No par value

-----  
(Title of Class of Securities)

72581R106

-----  
(CUSIP Number)

Eric Rosenfeld  
c/o Crescendo Partners II L.P. Series V  
10 East 53rd Street, 36th Floor  
New York, New York 10022  
Telephone: (212) 319-7676

-----  
(Name, Address and Telephone Number of Persons Authorized to Receive Notices  
and Communications)

February 25, 2004

-----  
(Date of Event which Requires Filing of this Statement)

If filing person has previously filed a statement on Schedule 13G to report the acquisition which is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box:

NOTE: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties to whom copies are to be sent.

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information that would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP NO. 72581R106

SCHEDULE 13D

PAGE 2 OF 6 PAGES

1 NAMES OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSON (ENTITIES ONLY)

CRESCENDO PARTNERS II, L.P. SERIES V

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS) (a) ☒ (b) ☐

3 SEC USE ONLY

4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

WC

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO  
ITEMS 2 (d) OR 2 (e) ☐

6 CITIZENSHIP OR PLACE OF ORGANIZATION

DELAWARE

7 SOLE VOTING POWER

-0-

NUMBER OF  
SHARES  
BENEFICIALLY  
OWNED BY  
EACH  
REPORTING  
PERSON  
WITH

8 SHARED VOTING POWER

-0-

9 SOLE DISPOSITIVE POWER

-0-

10 SHARED DISPOSITIVE POWER

-0-

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

-0-

12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES  
(SEE INSTRUCTIONS) ☐

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

-0-

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

PN

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\*SEE INSTRUCTIONS BEFORE FILLING OUT!

CUSIP NO. 72581R106

SCHEDULE 13D

PAGE 3 OF 6 PAGES

|                                                                                        |    |                                                                                         |                                                                      |
|----------------------------------------------------------------------------------------|----|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------|
| 1                                                                                      |    | NAMES OF REPORTING PERSONS<br>I.R.S. IDENTIFICATION NO. OF ABOVE PERSON (ENTITIES ONLY) |                                                                      |
|                                                                                        |    | CRESCENDO INVESTMENTS II, LLC                                                           |                                                                      |
| 2                                                                                      |    | CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)                     | (a) <input checked="" type="checkbox"/> (b) <input type="checkbox"/> |
| 3                                                                                      |    | SEC USE ONLY                                                                            |                                                                      |
| 4                                                                                      |    | SOURCE OF FUNDS (SEE INSTRUCTIONS)                                                      |                                                                      |
|                                                                                        |    | AF                                                                                      |                                                                      |
| 5                                                                                      |    | CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)     | <input type="checkbox"/>                                             |
| 6                                                                                      |    | CITIZENSHIP OR PLACE OF ORGANIZATION                                                    |                                                                      |
|                                                                                        |    | DELAWARE                                                                                |                                                                      |
| 7                                                                                      |    | SOLE VOTING POWER                                                                       |                                                                      |
|                                                                                        |    | -0-                                                                                     |                                                                      |
| NUMBER OF<br>SHARES<br>BENEFICIALLY<br>OWNED BY<br>EACH<br>REPORTING<br>PERSON<br>WITH | 8  | SHARED VOTING POWER                                                                     |                                                                      |
|                                                                                        |    | -0-                                                                                     |                                                                      |
|                                                                                        | 9  | SOLE DISPOSITIVE POWER                                                                  |                                                                      |
|                                                                                        |    | -0-                                                                                     |                                                                      |
|                                                                                        | 10 | SHARED DISPOSITIVE POWER                                                                |                                                                      |
|                                                                                        |    | -0-                                                                                     |                                                                      |
| 11                                                                                     |    | AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON                            |                                                                      |
|                                                                                        |    | -0-                                                                                     |                                                                      |
| 12                                                                                     |    | CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)    | <input type="checkbox"/>                                             |
| 13                                                                                     |    | PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)                                      |                                                                      |
|                                                                                        |    | -0-                                                                                     |                                                                      |
| 14                                                                                     |    | TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)                                             |                                                                      |

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CUSIP NO. 72581R106

SCHEDULE 13D

PAGE 4 OF 6 PAGES

1 NAMES OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSON (ENTITIES ONLY)

ERIC ROSENFELD

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS) (a) ☒ (b) ☐

3 SEC USE ONLY

4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

PF

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO  
ITEMS 2(d) OR 2(e) ☐

6 CITIZENSHIP OR PLACE OF ORGANIZATION

UNITED STATES

7 SOLE VOTING POWER

-0-

NUMBER OF  
SHARES  
BENEFICIALLY  
OWNED BY  
EACH  
REPORTING  
PERSON  
WITH

8 SHARED VOTING POWER

-0-

9 SOLE DISPOSITIVE POWER

-0-

10 SHARED DISPOSITIVE POWER

-0-

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

-0-

12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES  
(SEE INSTRUCTIONS) ☐

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

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-0-

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

IN

\*SEE INSTRUCTIONS BEFORE FILLING OUT!

The Schedule 13D filed by Crescendo Partners II, L.P. Series V ("Crescendo Partners II"), Crescendo Investments II, LLC ("Crescendo Investments II") and Eric Rosenfeld ("Rosenfeld", and together with Crescendo Partners II and Crescendo Investments II, collectively referred to as the "Reporting Persons") with respect to ownership of the common shares, no par value ("Common Shares"), of Pivotal Corporation (the "Issuer") with the U.S. Securities and Exchange Commission on May 15, 2003 and as amended by that certain Amendment No. 1 filed on July 1, 2003 (collectively, the "Prior Schedule") is hereby further amended to furnish additional information set forth herein. All capitalized terms not otherwise defined shall have the meanings ascribed to such terms in the Prior Schedule.

ITEM 5. INTEREST IN SECURITIES OF THE ISSUER

(e) Effective as of February 25, 2004, a subsidiary of china.com Corporation acquired all of the outstanding capital stock of the Issuer. Upon such acquisition, the Reporting Persons ceased to own any of the Issuer's Common Shares, and Rosenfeld ceased being a director of the Issuer.

5

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: March 11, 2004

CRESCENDO PARTNERS II, L.P. SERIES V

By: Crescendo Investments II, LLC

By: /s/ Eric Rosenfeld

Name: Eric Rosenfeld

Title: Senior Managing member

CRESCENDO INVESTMENTS II, LLC

By:     /s/ Eric Rosenfeld  
-----  
Name: Eric Rosenfeld  
Title: Senior Managing Member

/s/ Eric Rosenfeld  
-----  
ERIC ROSENFELD