

Edgar Filing: NEUBERGER BERMAN INC - Form 8-K

NEUBERGER BERMAN INC  
Form 8-K  
January 31, 2002

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

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CURRENT REPORT

Pursuant to Section 13 or 15 (d) of the  
Securities Exchange Act of 1934

DATE OF REPORT (Date of earliest event reported): January 29, 2002

NEUBERGER BERMAN INC.

(Exact name of registrant as specified in its charter)

COMMISSION FILE NUMBER 001-15361

DELAWARE

(State or other jurisdiction of  
incorporation or organization)

06-1523639

(I.R.S. Employer  
Identification No.)

605 Third Avenue  
New York, NY 10158

(Address of principal executive offices)

(212) 476-9000

(Registrant's telephone number, including area code)

ITEM 5. OTHER EVENTS

On January 29, 2002, Neuberger Berman Inc. (the "Corporation"), announced that its Board of Directors had declared the Corporation's dividend for the fourth quarter of 2001, in the amount of \$0.075 per share. The dividend is payable on February 19, 2002, to stockholders of record on February 7, 2002.

A copy of the press release issued by the Corporation is attached as Exhibit 99.1 and incorporated herein by reference.

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS

(c) Exhibits

Exhibit No. Document

(99) Additional Exhibits

99.1 Press release issued by the Corporation on January 29,

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2002, reporting that its Board of Directors had declared the Corporation's fourth quarter 2001 dividend payable on February 19, 2002 to stockholders of record on February 7, 2002.

- 99.2 Press release issued by the Corporation on January 29, 2002, with respect to results of operations for the quarter and year ended December 31, 2001.

### ITEM 9. REGULATION FD DISCLOSURE

The Corporation is furnishing under Item 9 of this Current Report on Form 8-K the information included as Exhibit 99.2 to this Report. Exhibit 99.2 is the Corporation's press release, dated January 29, 2002, reporting the Corporation's results of operations for the quarter and year ended December 31, 2001.

The information in this Item 9 and the related Exhibit 99.2 are furnished pursuant to Regulation FD, and shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that Section.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Neuberger Berman Inc.  
(Registrant)

Date: January 31, 2002

By: /s/ Matthew S. Stadler  
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Matthew S. Stadler  
Chief Financial Officer

### EXHIBIT INDEX

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- 99.2 Press release issued by Neuberger Berman Inc. on January 29, 2002, reporting the Corporation's results of operations for the quarter and year ended December 31, 2001.