



Edgar Filing: GENTA INCORPORATED /DE/ - Form 4

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

VP & Chief Technical Officer

7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

Table I -- Non Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |           |
|---------------------------------------|---|---|---|--|------------------|-----------|
|                                       |   | Code                                    | V | Amount   | (A)<br>or<br>(D) | Price     |
| Common Stock, par value \$.001        | 1/02/02                                       | X                                       |   | 6,000  | A                | \$0.94375 |
| Common Stock, par value \$.001        | 1/02/02                                       | S                                       |   | 6,000  | D                | \$13.9658 |
| Common Stock, par value \$.001        | 1/15/02                                       | X                                       |   | 6,000  | A                | \$0.94375 |
| Common Stock, par value \$.001        | 1/15/02                                       | S                                       |   | 6,000  | D                | \$13.3500 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

SEC1474 (3-99)

FORM 4 (CONTINUED)

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TABLE II -- DERIVATIVE SECURITIES BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|--|--|---|--|
| Options to<br>acquire Common<br>Stock (2)              | \$0.94375   | 1/02/02  | X  | 6,000  | 3/31/99 5/28/08   | Common<br>Stock,<br>par<br>value<br>\$.001 6,000   |
| Options to<br>acquire Common<br>Stock (2)              | \$0.94375   | 1/15/02  | X  | 6,000  | 3/31/99 5/28/08   | Common<br>Stock,<br>par<br>value<br>\$.001 6,000   |
| Options to<br>acquire Common<br>Stock (3)              | \$13.70   | 1/25/02  | A  |  | 1/25/03 1/25/12   | Common<br>Stock,<br>par<br>value<br>\$.001 15,000  |

Explanation of Responses

- (1) Does not include 12,000 shares held by the Reporting Person's children's individual retirement accounts.
- (2) Issued under the Company's 1998 Employee Stock Incentive Plan.
- (3) These options were granted as part of an annual bonus. These options vest equally over the next four (4) years.

/s/ Robert E. Klem

February 8, 2002

\*\*Signature of Reporting Person

Date

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\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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