## Edgar Filing: ARCHIBALD THOMAS W - Form 4

| ARCHIBALD TH  | IOMAS W  |   |  |   |                       |   |  |  |   |  |  |
|---|--|---|--|---|-----------------------|---|--|--|---|--|--|
| Form 4<br>September 10, 200   | )9   |   |  |   |                       |   |  |  |   |  |  |
| FORM 4  |  |   |  |   |                       |   |  | OMB A  | PPROVAL   |  |  |
| <b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549  |  |   |  |   |                       |   | N OMB<br>Number:   | 3235-0287  |   |  |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br><i>See</i> Instruction<br>1(b). | Filed pur  | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES       January         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       January |  |   |                       |   |  |  |   |  |  |
| (Print or Type Respon   | uses)  |   |  |   |                       |   |  |  |   |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>ARCHIBALD THOMAS W  |  |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>HEARUSA INC [EAR] |   |                       | 5. Relationship of Reporting Person(s) to<br>Issuer   |  |  |   |  |  |
| (Last) (I   | First) (N  | Middle)   | 3. Date of Earliest Transaction  |   |                       |   | (Check all applicable)   |  |   |  |  |
|   |  |   | (Month/Day/Year)<br>09/08/2009   |   |                       | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)  |  |  |   |  |  |
| Filed(Mo  |  |   | . If Amendment, Date Original<br>iled(Month/Day/Year)                      |   |                       | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |  |   |  |  |
| PACIFIC GROV  | E, CA 9395   | 0   |  |   |                       |   | Person   |  | · · · · · · · · · · · · · · · · · · ·                             |  |  |
| (City) (S   | State)   | (Zip)   | Tab  | le I - Non-I                                      | Derivative            | Securities A  | cquired, Disposed  | of, or Beneficia   | lly Owned   |  |  |
|   | nsaction Date<br>h/Day/Year)   | 2A. Deeme<br>Execution<br>any<br>(Month/Da  | Date, if   | 3.<br>Transaction<br>Code<br>(Instr. 8)<br>Code V | Disposed (Instr. 3, 4 | (A) or<br>of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Reminder: Report on   | a separate line  | for each cla  | ass of sec   | urities benef                                     | ficially owr          | ed directly o   | or indirectly.   |  |   |  |  |
|   | Persons who respond to the collection of<br>information contained in this form are not<br>required to respond unless the form<br>displays a currently valid OMB control<br>number.SEC 1474<br>(9-02) |   |  |   |                       |   |  |  |   |  |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8  |
|-------------|-------------|---------------------|--------------------|------------|--------------|-------------------------|------------------------|----|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orDerivative | Expiration Date         | Underlying Securities  | D  |
| Security    | or Exercise |                     | any                | Code       | Securities   | (Month/Day/Year)        | (Instr. 3 and 4)       | S  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Acquired (A) |                         |                        | (] |

|                                      | Derivative<br>Security |            |      | or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |        |     |                     |                    |                 |                                     |
|--------------------------------------|------------------------|------------|------|--|--------|-----|---------------------|--------------------|-----------------|-------------------------------------|
|                                      |                        |            | Code | v  | (A)    | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 1.17                | 09/08/2009 | А    |  | 25,000 |     | <u>(1)</u>          | 09/08/2019         | Common<br>Stock | 25,000                              |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                            | Relationships |           |         |       |  |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |  |  |
| ARCHIBALD THOMAS W<br>1342 ARENA AVE.<br>PACIFIC GROVE, CA 93950 | Х             |           |         |       |  |  |  |  |
| Signatures   |               |           |         |       |  |  |  |  |
| /s/ Thomas W.<br>Archibald                                       | 09/10/200     | 9         |         |       |  |  |  |  |

\*\*Signature of Reporting Date Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option to purchase 25,000 shares of common stock was awarded on September 8, 2009 under the HearUSA, Inc. Amended and
 (1) Restated 2007 Incentive Compensation Plan in a transaction exempt under Rule 16b-3 and becomes exercisable ratably over three years starting on September 8, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.