Edgar Filing: TRANS LUX CORP - Form 4

TRANS LUX CC Form 4	DRP									
October 17, 2013										
FORM 4		~~	~~~~						PPROVA	۱L
	UNITED	STATES		RITIES A shington			E COMMISSIO	N OMB Number:		-0287
Check this box if no longer		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								ry 31, 2005
subject to Section 16. Form 4 or				SECU	Estimated burden ho response	Estimated average burden hours per response				
Form 5 obligations may continue. See Instruction 1(b).	Section 17((a) of the l	Public U	Itility Hol	ding Co		nge Act of 1934, of 1935 or Secti 1940			
(Print or Type Respondence)	nses)									
1. Name and Addres SCHIELE GEOR	Person [*]	2. Issuer Name and Ticker or Trading Symbol TRANS LUX CORP [TNLX]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Middle) 3. Date of Earliest Transaction						(Chi	eck all applicabl	(e)	
19 HILL ROAD	(Month/Day/Year) 10/02/2013			X_ Director 10% Owner Officer (give title Other (specify below) below)						
(4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
GREENWICH, O	CT 06830						Form filed by Person	More than One R	eporting	
(City) ((State)	(Zip)	Tab	ole I - Non-l	Derivative	e Securities A	Acquired, Disposed	of, or Beneficia	ally Owne	d
	ansaction Date tth/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	4. Securi nAcquired Disposed (Instr. 3,	l (A) or l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al ip
				Code V	Amount	or (D) Price	(Instr. 3 and 4)			
Reminder: Report on	a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly	or indirectly.			
					inforı requi	nation cont red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or		

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	Derivative Security				Disposed of (Instr. 3, 4, 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Warrant	\$ 0.5	10/02/2013	А		500,000		10/02/2014(1)	10/02/2018	Common Stock	500,0

Reporting Owners

Reporting Owner Name / Addr	·ess	Relationships								
	Director	10% Owner	Officer	Other						
SCHIELE GEORGE W 19 HILL ROAD GREENWICH, CT 06830	Х									
Signatures										
/s/ George W. Schiele	10/17/2013									
**Signature of Reporting Person	Date									

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

500,000 Warrants were granted to Mr. Schiele by the Board of Directors and approved by the stockholders at the 2013 Annual Meeting.(1) 165,000 are exercisable after 1 year; an additional 165,000 warrants are exercisable after 2 years; and all of the warrants are exercisable after 3 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.