Daniels Kevin Form 3 June 05, 2018

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Daniels Kevin

(Last)

(First)

Statement

(Month/Day/Year)

05/25/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CORE LABORATORIES N V [CLB]

4. Relationship of Reporting

Director

\_X\_\_ Officer

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

6316 WINDFERN RD

(Street)

(Check all applicable)

10% Owner Other

(give title below) (specify below) CAO & Treasurer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

HOUSTON, TXÂ 77040

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

(Middle)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise Price of Derivative

Security

4.

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Title

(Instr. 4)

Amount or Number of Shares

Direct (D) or Indirect (I) (Instr. 5)

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Restricted Shares	(1)	(1)	Common Shares	2,915	\$ <u>(1)</u>	D	Â
Performance Shares	(2)	(2)	Common Shares	2,600	\$ <u>(2)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Treporting of their relations	Director	10% Owner	Officer	Other	
Daniels Kevin 6316 WINDFERN RD HOUSTON Â TXÂ 77040	Â	Â	CAO & Treasurer	Â	

# **Signatures**

Mark F. Elvig,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Restricted Shares vest in the amount of 1/6th of the grant on each of the six annual anniversaries following the respective grant date. Mr. Daniels has 6 grants still outstanding, and of those the following shares remain unvested at this time: 125 shares from the grant on 9/1/12;

- (1) 110 shares from the grant on 3/1/13; 140 shares from the grant on 4/1/14; 420 shares from the grant on 7/1/15; 480 shares from the grant on 3/1/16; and 840 shares from the grant on 12/1/17. In addition he has 800 shares from a special grant on 12/1/14 which will vest on 12/1/18.
  - Assuming a recipient's continued employment (or death or disability while employed) and the satisfaction of certain performance goals is achieved, this award would vest at the end of a three-year performance period that began on January 1, 2018 and ends on the last NYSE trading day of December 2020 (the "Performance Period"). At the end of the Performance Period, 100% of the award will vest if the
- (2) trading day of December 2020 (the "Performance Period"). At the end of the Performance Period, 100% of the award will vest if the Company is in the top 75th percentile of Return On Invested Capital of the Bloomberg Peer Group as shown by data published by Bloomberg upon the close of the NYSE market on the last trading day of the Performance Period. If this criteria is not met, then no shares shall vest and the award be forfeited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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