POPE MARIA M Form 4

November 14, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * POPE MARIA M

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

UMPQUA HOLDINGS CORP

(Check all applicable)

[UMPQ]

(Last) (First) 3. Date of Earliest Transaction

_X__ Director

10% Owner

(Month/Day/Year)

(Middle)

(Zip)

11/13/2017

Officer (give title

Other (specify

ONE SW COLUMBIA STREET, **SUITE 1200**

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PORTLAND, OR 97258

(City)

		Tabl	CI-MOH-D	ciivative	Secui	ines Acq	function, Disposed of, of Beneficially Owned			
1.Title of	2. Transaction Date		3.	4. Securi		•	5. Amount of	6. Ownership		
Security	(Month/Day/Year)	Execution Date, if	Transaction	on(A) or D	ispose	d of (D)	Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
					(4)		Reported			
					(A)		Transaction(s)			
					or		(Instr. 3 and 4)			
			Code V	Amount	(D)	Price	·			
Common	11/12/2017		M	2.252		\$	20.750	Ъ		
Stock	11/13/2017		M	3,252	Α	14.55	28,759	D		
C				2.256		¢				
Common	11/13/2017		F	2,356	D)	26,403	D		
Stock	,,,		_	(1)	_	20.08	_ = = ,			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option (right to buy)	\$ 14.55	11/13/2017		M	3,252	07/30/2013	07/29/2023	Common Stock	3,2

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
POPE MARIA M ONE SW COLUMBIA STREET, SUITE 1200 PORTLAND, OR 97258	X					

Signatures

By: Andrew H. Ognall, Attorney-in-Fact For: Maria M. 11/13/2017 Pope

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Payment of purchase price by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in **(1)** accordance with Rule 16b-3.
- (2) Not required.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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