Minerva Neurosciences, Inc.

Form 4

January 26, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Care Capital III LLC Issuer Symbol Minerva Neurosciences, Inc. (Check all applicable) [NERV] \_X\_\_ 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction Director \_ Other (specify Officer (give title (Month/Day/Year) below) 47 HULFISH STREET, SUITE 310 01/22/2015 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting PRINCETON, NJ 08542 Person

(State)

(Zin

(City)

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative S	ecuriti	ies Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securitie or(A) or Disp (Instr. 3, 4	oosed (and 5)	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	01/22/2015		S	395,697 (1)	D	\$ 5.11 (2)	2,955,707	I	See footnote (3)
Common Stock	01/23/2015		S	63,304 ( <u>4)</u>	D	\$ 5.14 (5)	2,892,403	I	See footnote (6)
Common Stock	01/26/2015		S	23,111 (7)	D	\$ 5.02 (8)	2,869,292	I	See footnote (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)			5. iorNumber	6. Date Exerc Expiration D	ate	Amou	le and unt of	8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	Year)	Secur	rlying ities . 3 and 4)	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Relationships

# **Reporting Owners**

Reporting Owner Name / Address				
1	Director	10% Owner	Officer	Other
Care Capital III LLC 47 HULFISH STREET SUITE 310 PRINCETON, NJ 08542		X		
Care Capital Investments III L.P. 47 HULFISH STREET SUITE 310 PRINCETON, NJ 08542		X		
Care Capital Offshore Investments III LP 47 HULFISH STREET SUITE 310 PRINCETON, NJ 08542		X		

# **Signatures**

Care Capital III, LLC /s/ David R	. Ramsay	01/26/2015
	**Signature of Reporting Person	Date
Care Capital Investments III L.P Ramsay	By: Care Capital III, LLC, Its General Partner /s/ David R.	01/26/2015
	**Signature of Reporting Person	Date
		01/26/2015

Reporting Owners 2 Care Capital Offshore Investments III LP, By: Care Capital III, LLC, Its General Partner /s/ David R. Ramsay

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold as follows: 389,197 by Care Capital Investments III L.P. and 6,500 by Care Capital Offshore Investments III LP.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.28 to \$5.00, inclusive. The reporting person undertakes to provide to Minerva Neurosciences, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (5) and (8).
  - Consists of 2,907,158 shares held by Care Capital Investments III L.P. and 48,549 shares held by Care Capital Offshore Investments III L.P. Care Capital III, LLC is the general partner of Care Capital Investments III L.P. and Care Capital Offshore Investments III L.P and as
- (3) a result, Care Capital III, LLC has the ultimate power to vote or direct the vote and to dispose or direct the disposition of such shares. Care Capital III, LLC is managed by three or more members and accordingly none of the managing members is deemed to have voting or dispositive control over the securities.
- (4) The shares were sold as follows: 62,264 by Care Capital Investments III L.P. and 1,040 by Care Capital Offshore Investments III L.P.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$6.42 to \$5.50, inclusive.
- (6) Consists of 2,844,894 shares held by Care Capital Investments III L.P. and 47,509 shares held by Care Capital Offshore Investments III L.P.
- (7) The shares were sold as follows: 22,731 by Care Capital Investments III L.P. and 380 by Care Capital Offshore Investments III L.P.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.11 to \$5.00, inclusive.
- (9) Consists of 2,822,163 shares held by Care Capital Investments III L.P. and 47,129 shares held by Care Capital Offshore Investments III L.P.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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