DAUCH DAVID C Form 4

March 05, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

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January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

(Fillit of Type	(Responses)							
1. Name and DAUCH D	Address of Repor	ting Person *	2. Issuer Name and Symbol	5. Relationship of Reporting Person(s) to Issuer				
			AMERICAN AX MANUFACTUR INC [AXL]	(Check all applicable)  X Director 10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest T (Month/Day/Year)	ransaction	X Officer (	give titlebe	Other (specify elow)	
ONE DAUCH DRIVE			03/02/2018	Chairman & CEO				
	(Street)		4. If Amendment, D Filed(Month/Day/Yea	č	6. Individual of Applicable Line  X  Form filed	)	oup Filing(Check	
DETROIT	, MI 48211-11	98		Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I - Non-l	Derivative Securities Acq	uired, Dispose	d of, or Be	eneficially Owned	
1.Title of	2. Transaction Da			4. Securities Acquired (A)		6.	7. Nature of	

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	03/02/2018		A	136,904 (1)	A	\$ 0	385,109	D (2)		
Common Stock	03/02/2018		A	112,724	A	\$ 0	497,833	D (2)		
Common Stock	03/02/2018		F	75,110	D	\$ 14.28	422,723	D (2)		
Common Stock							297,352	I (3)	By Family/UGMA Trusts	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	tionNumber	Expiration Da	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	) Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
						Date	Expiration		or	
						Exercisable	Date		Number	
				G 1 1	7 (A) (B)				of	
				Code V	V (A) (D)				Shares	

Deletionships

## **Reporting Owners**

Reporting Owner Name / Address	ixelationships						
	Director	10% Owner	Officer	Other			
DALIGH DAVID C							

DAUCH DAVID C ONE DAUCH DRIVE

X Chairman & CEO

DETROIT, MI 48211-1198

## **Signatures**

/s/ Laura L. Douglas, attorney 03/05/2018 in fact

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares represent a grant of restricted stock units (RSUs) that will settle in common stock upon vesting. These RSUs will vest on the third anniversary of the grant date.
- Excludes 99,744 shares that were previously owned directly. These shares were contributed to the insider's personal trust on March 14, **(2)** 2017 and are how held indirectly.
- (3) Includes 99,744 shares that were contributed to a personal trust as described in footnote 2.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

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