Bradley Alexander R. Form 4 August 06, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bradley Alexander R. Issuer Symbol FIRST SOLAR, INC. [FSLR] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title C/O FIRST SOLAR, INC., 350 08/05/2018 below) WEST WASHINGTON STREET, Chief Financial Officer SUITE 600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting TEMPE, AZ 85281-1244

| (,)                    | Tal                                  |                               | e I - Non-D                                                 | erivative S                             | securi           | ities Acqu  | uired, Disposed of, or Beneficially Owned          |                           |                         |  |
|------------------------|--------------------------------------|-------------------------------|-------------------------------------------------------------|-----------------------------------------|------------------|-------------|----------------------------------------------------|---------------------------|-------------------------|--|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) |                                         |                  | d of (D)    | 5. Amount of Securities                            | 6. Ownership Form: Direct | Indirect                |  |
| (Instr. 3)             |                                      | any<br>(Month/Day/Year)       | Code (Instr. 8)                                             | , , , , , , , , , , , , , , , , , , , , |                  |             | Beneficially<br>Owned                              | (D) or<br>Indirect (I)    | Beneficial<br>Ownership |  |
|                        |                                      | (Month/Day/Tear)              | Code V                                                      | Amount                                  | (A)<br>or<br>(D) | Price       | Following Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4)                | (Instr. 4)              |  |
| Common                 | 00/05/0010                           |                               |                                                             |                                         | ( )              |             | 062                                                | D                         |                         |  |
| Stock                  | 08/05/2018                           |                               | M                                                           | 963 (1)                                 | А                | \$ 0        | 963                                                | D                         |                         |  |
| Common<br>Stock        | 08/05/2018                           |                               | F                                                           | 513 (2)                                 | D                | \$<br>52.84 | 450                                                | D                         |                         |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | Derivativ | Expiration le (Month/Days | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |      |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------|---------------------------|----------------------------------------------------------|-----------------|---------------------------------------------------------------|------|
|                                                     |                                                                       |                                      |                                                             | Code V                               | V (A) (D  | Date<br>Exercisable       | Expiration<br>Date                                       | Title           | Amount<br>or<br>Number<br>of<br>Shares                        |      |
| Restricted<br>Stock<br>Units                        | (3)                                                                   | 08/05/2018                           |                                                             | M                                    | 96        | 3 (4)                     | <u>(4)</u>                                               | Common<br>Stock | 963                                                           | \$ ( |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bradley Alexander R. C/O FIRST SOLAR, INC. 350 WEST WASHINGTON STREET, SUITE 600

Chief Financial Officer

# **Signatures**

TEMPE, AZ 85281-1244

/s/ Jason E. Dymbort, attorney-in-fact 08/06/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock issued upon vesting of 25% of the restricted stock units granted on August 5, 2015.
- Represents shares of common stock withheld by the Issuer to satisfy certain tax withholding obligations with the vesting of the restricted stock units.
- Each restricted stock unit represents the right to receive, upon vesting, one share of the Issuer's common stock in accordance with the Issuer's 2015 Omnibus Incentive Compensation Plan.
- The restricted stock units were granted on August 5, 2015 as a performance recognition grant. These units are scheduled to vest at a rate of 25% per year, commencing on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2