

MALVEY KENNETH P  
Form 5  
January 08, 2013

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
MALVEY KENNETH P

2. Issuer Name and Ticker or Trading Symbol  
TORTOISE POWER & ENERGY INFRASTRUCTURE FUND INC [TPZ]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Senior VP and Treasurer

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
11/30/2012

11550 ASH STREET, SUITE 300  
(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting  
(check applicable line)

LEAWOOD, KS 66211

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Shares	02/01/2012	Â	L	1 <u>(1)</u> A \$ 25.73	334 <u>(2)</u>	I	By self as co-trustee of grandmother's trust
Common Shares	02/29/2012	Â	L	1 <u>(1)</u> A \$ 26.08	334 <u>(2)</u>	I	By self as co-trustee of grandmother's

Common Shares	03/30/2012	Â	L	1 <u>(1)</u>	A	\$ 25.38	334 <u>(2)</u>	I	trust By self as co-trustee of grandmother's trust
Common Shares	04/30/2012	Â	L	1 <u>(1)</u>	A	\$ 25.04	334 <u>(2)</u>	I	By self as co-trustee of grandmother's trust
Common Shares	05/31/2012	Â	L	1 <u>(1)</u>	A	\$ 24.02	334 <u>(2)</u>	I	By self as co-trustee of grandmother's trust
Common Shares	06/29/2012	Â	L	1 <u>(1)</u>	A	\$ 25.2	334 <u>(2)</u>	I	By self as co-trustee of grandmother's trust
Common Shares	07/31/2012	Â	L	1 <u>(1)</u>	A	\$ 25.62	334 <u>(2)</u>	I	By self as co-trustee of grandmother's trust
Common Shares	08/31/2012	Â	L	1 <u>(1)</u>	A	\$ 25.55	334 <u>(2)</u>	I	By self as co-trustee of grandmother's trust
Common Shares	Â	Â	Â	Â	Â	Â	750	I	By spouse
Common Shares	Â	Â	Â	Â	Â	Â	100	I	By self as custodian of child's account
Common Shares	Â	Â	Â	Â	Â	Â	750	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E Is
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of (D)  
(Instr. 3,  
4, and 5)

(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MALVEY KENNETH P 11550 ASH STREET SUITE 300 LEAWOOD, KS 66211	Â	Â	Â Senior VP and Treasurer	Â

## Signatures

Kenneth P. Malvey 01/08/2013

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not participating in the Tortoise Power and Energy Infrastructure Fund, Inc. dividend reinvestment plan. This transaction is being reported on Form 5 pursuant to Rule 16a-6.
  - (2) Includes 16 shares acquired under the Tortoise Power and Energy Infrastructure Fund, Inc. dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.