Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

MONMOUTH REAL ESTATE INVESTMENT CORP

Form 4

September 17, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Indirect

Beneficial

Ownership

(Instr. 4)

(9-02)

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * **CHEW ANNA T**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

MONMOUTH REAL ESTATE INVESTMENT CORP [MNR]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

_ Director 10% Owner Officer (give title Other (specify

(Month/Day/Year)

Filed(Month/Day/Year)

3499 ROUTE 9 NORTH, SUITE 3D 09/15/2015

(Street)

4. If Amendment, Date Original

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

D

Person

below)

FREEHOLD, NJ 07728

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 7. Nature of

2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 1.Title of 3. 6. Execution Date, if Security (Month/Day/Year) TransactionAcquired (A) or Securities Ownership Beneficially (Instr. 3) Code Disposed of (D) Form: (Instr. 8) (Month/Day/Year) (Instr. 3, 4 and 5) Owned Following Direct (D) Reported or Indirect (A)

Transaction(s) (I) or (Instr. 3 and 4) (Instr. 4)

Code V Amount (D)

Price

Monmouth Real Estate

09/17/2015 Investment Corporation

1,000 310,310.2224 \$0 (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, | 6 | | | nd Amount of 8. ng Securities D and 4) S (I | |
|---|---|---|---------------------------------------|--|---------------------|--------------------|------------------------|---|--|
| | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Option to Purchase MNR Common | \$ 7.8 | | | | 03/10/2009 | 03/10/2016 | MNR Common Stock | 50,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| CHEW ANNA T 3499 ROUTE 9 NORTH, SUITE 3D FREEHOLD, NJ 07728 | X | | | | | |

Signatures

Stock

Susan M. Jordan 09/17/2015 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock: Vesting Schedule: 20% each year for a five year period, commencing on 9/14/2016.
- (2) Also includes 144.9104 shares acquired through dividend reinvestment on 9/15/2015 and 27,951 shares in the 401K Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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