#### Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

#### MONMOUTH REAL ESTATE INVESTMENT CORP

Form 4

January 05, 2017

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

	LANDY EU	UGENE W	g reison_	Symbol			Ticker of			Issuer	o of Reporting	reison(s) to	
				MONMOUTH REAL ESTATE INVESTMENT CORP [MNR]						(Check all applicable)			
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)					X Director 10% OwnerX Officer (give title Other (specify					
	3499 RT. 9	NORTH, SUITE	E 3D	01/05/2017  4. If Amendment, Date Original					below) Chairman of the Board  6. Individual or Joint/Group Filing(Check				
		(Street)											
				Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
	FREEHOL	D, NJ 07728								Person	by More than Or	ie Reporting	
	(City)	(State)	(Zip)	Tab	le I - No	n-E	Derivative	Secu	rities A	cquired, Dispose	d of, or Benef	icially Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	Code (Instr. 8	8)	4. SecurinAcquired Disposed (Instr. 3,	(A) o of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	MNR Common Stock									845,951.232	D		
	MNR Common Stock									154,405	I	Eugene W. Landy and Gloria Landy Family Foundation	
	MNR Common Stock									34,840.66	I	Juniper Plaza Associates	

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MNR Common Stock					2	27,521.03	I	Windsor Industrial Park Associates	
MNR Common Stock					9	97,913.57	I	Spouse	
MNR Common Stock					1	92,293.62	I	Landy & Landy Employees Pension Pl	
MNR Common Stock					1	3,048	I	Landy Investment Ltd.	ts,
MNR Common Stock					2	225,426.819	I	Landy & Landy Employees Profit Shan Plan	
Reminder: Ro	SEC 1474 (9-02)								
			tive Securities Acquuts, calls, warrants,				ieu		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if	Date, if TransactionDerivative Code Securities		Expiration (Month/Da			and Amount of ing Securities and 4)
Qualified				Code V	(A) (	Date Exercisabl	Expiratio e Date	n Title	Amount or Number of Shares
Qualified Stock Option to Purchase MNR Common Stock	\$ 15.04	01/04/2017		A	65,000 (1)	01/04/20	18 01/04/2	MNF 2025 Comm Stock	on 65,000
	\$ 10.37					01/05/20	17 01/05/2	2024	65,000

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Qualified Stock Option to Purchase MNR Common Stock				MNR Common Stock	
Qualified Stock Option to Purchase MNR Common Stock	\$ 11.16	01/05/2016	01/05/2023	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 8.94	01/03/2015	01/03/2022	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 10.46	01/03/2014	01/03/2021	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 9.33	01/03/2013	01/03/2020	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 8.72	01/03/2012	01/03/2019	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 7.22	01/05/2011	01/05/2018	MNR Common Stock	65,000

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LANDY EUGENE W

3499 RT. 9 NORTH X Chairman of the Board

FREEHOLD, NJ 07728

# **Signatures**

Eugene W. 01/05/2017 Landy

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) New stock option granted on January 4, 2017 for 65,000 shares of MNR Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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