Edgar Filing: POPULAR INC - Form 8-K

POPULAR INC Form 8-K October 17, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Form 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 17, 2016

POPULAR, INC.

(Exact name of registrant as specified in its charter)

Puerto Rico 001-34084 66-0667416

(State or other jurisdiction of (Commission File Number) (IRS Employer Identification incorporation or organization) Number)

209 Munoz Rivera Avenue

Hato Rey, Puerto Rico 00918 (Address of principal executive offices) (Zip code)

(787) 765-9800

(Registrant's telephone number, including area code)

NOT APPLICABLE

(Former name, former address and former fiscal year, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Edgar Filing: POPULAR INC - Form 8-K

Item 7.01. Regulation FD Disclosure.

On October 17, 2016, Popular, Inc. (the "Corporation") released the results of its "company-run" stress test required by the Dodd-Frank Wall Street Reform and Consumer Protection Act ("DFAST") for the Corporation and its principal banking subsidiary, Banco Popular de Puerto Rico ("BPPR"). A copy of that information is furnished as Exhibit 99.2 hereto and is available on the Corporation's website at www.popular.com under Investor Relations, Presentations and Webcasts.

The "company-run" DFAST disclosure and press release, are being furnished pursuant to Item 7.01, and the information contained therein shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities under that Section. Furthermore, the information contained in Exhibits 99.1 and 99.2 shall not be deemed to be incorporated by reference into the Corporation's filings under the Securities Act of 1933, as amended.

Item 9.01. Financial Statements and Exhibits.

The following exhibit shall not be deemed to be "filed" for purposes of the Securities Exchange Act of 1934, as amended.

99.1 Popular, Inc. press release dated October 17, 2016

99.2 2016 Dodd-Frank Act Stress Test Disclosure

Edgar Filing: POPULAR INC - Form 8-K

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

POPULAR, INC.

(Registrant)

Date: By: /s/ Jorge J. García

October 17, 2016

Jorge J. García

Senior Vice President and Corporate Comptroller