

Dent Rebecca H  
Form 4/A  
November 09, 2004

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GUND GORDON**

(Last) (First) (Middle)

**14 NASSAU STREET**

(Street)

**PRINCETON, NJ 08542**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ALIGN TECHNOLOGY INC**  
**[ALGN]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**07/28/2004**

4. If Amendment, Date Original  
Filed(Month/Day/Year)  
**07/29/2004**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_X\_\_\_\_ Other (specify  
below) below)

See Remark Section

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |         |   |                  |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|---------|---|------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount                                                                                        | (A) or (D)                                               | Price                                                 |         |   |                  |
| Common Stock                    | 07/28/2004                           |                                                    | P                              |                                                                   | 60,000                                                                                        | A                                                        | \$ 16.71                                              | 100,000 | I | See Footnote (1) |
| Common Stock                    | 07/28/2004                           |                                                    | P                              |                                                                   | 51,341                                                                                        | A                                                        | \$ 16.71                                              | 220,000 | I | See Footnote (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships |           |         |                    |
|------------------------------------------------------------|---------------|-----------|---------|--------------------|
|                                                            | Director      | 10% Owner | Officer | Other              |
| GUND GORDON<br>14 NASSAU STREET<br>PRINCETON, NJ 08542     |               |           |         | See Remark Section |
| Gund Grant<br>14 NASSAU STREET<br>PRINCETON, NJ 08542      |               |           |         | See Remark Section |
| Gund Llura L<br>14 NASSAU STREET<br>PRINCETON, NJ 08542    |               |           |         | See Remark Section |
| Gund G Zachary<br>14 NASSAU STREET<br>PRINCETON, NJ 08542  |               |           |         | See Remark Section |
| Watson Richard<br>14 NASSAU STREET<br>PRINCETON, NJ 08542  |               |           |         | See Remark Section |
| Dent Rebecca H<br>14 NASSAU STREET<br>PRINCETON, NJ 08542  |               |           |         | See Remark Section |
| Barrows Gail<br>14 NASSAU STREET<br>PRINCETON, NJ 08542    |               |           |         | See Remark Section |
| GUND GEORGE III<br>14 NASSAU STREET<br>PRINCETON, NJ 08542 |               |           |         | See Remark Section |

Gund CLAT Investments, LLC  
14 NASSAU STREET  
PRINCETON, NJ 08542

See Remark Section

## Signatures

|                                                                 |            |
|-----------------------------------------------------------------|------------|
| Theodore W. Baker as Attorney in Fact                           | 11/09/2004 |
| <u>                    </u> **Signature of Reporting Person     | Date       |
| Theodore W. Baker as Attorney in Fact for Gordon Gund - Manager | 11/09/2004 |
| <u>                    </u> **Signature of Reporting Person     | Date       |
| Theodore W. Baker as Attorney in Fact                           | 11/09/2004 |
| <u>                    </u> **Signature of Reporting Person     | Date       |
| Theodore W. Baker as Attorney in Fact                           | 11/09/2004 |
| <u>                    </u> **Signature of Reporting Person     | Date       |
| Theodore W. Baker as Attorney in Fact                           | 11/09/2004 |
| <u>                    </u> **Signature of Reporting Person     | Date       |
| Theodore W. Baker as Attorney in Fact                           | 11/09/2004 |
| <u>                    </u> **Signature of Reporting Person     | Date       |
| Theodore W. Baker as Attorney in Fact                           | 11/09/2004 |
| <u>                    </u> **Signature of Reporting Person     | Date       |
| Theodore W. Baker as Attorney in Fact                           | 11/09/2004 |
| <u>                    </u> **Signature of Reporting Person     | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are owned by the G. Zachary Gund Descendants Trust of 2004 and by G. Zachary Gund and Rebecca Dent, as Trustees.
- (2) These securities are owned by Gund CLAT Investments LLC and by Gordon Gund, as Trustee. On June 1, 2004, the Gordon Gund CLT#5 and the Gordon Gund CLT#6 contributed the 168,659 shares owned by the Gordon Gund CLT#5 and the Gordon Gund CLT#6 to Gund CLAT Investments LLC in exchange for membership interests in Gund CLAT Investments, LLC.

### Remarks:

The Reporting Persons include Llura L. Gund, Grant Gund, G. Zachary Gund, Gordon Gund, Richard L. Watson, Rebecca H.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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