AMERIPRISE FINANCIAL INC Form 5 February 14, 2006 FORM 5

1(b).

Reported

Reported

(Last)

(City)

1.Title of

Security

(Instr. 3)

Form 4

## OMB APPROVAL OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Transactions 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Sharpe Robert Francis JR Symbol AMERIPRISE FINANCIAL INC (Check all applicable) [AMP] (Middle) 3. Statement for Issuer's Fiscal Year Ended (First) \_X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2005 **GENERAL COUNSEL'S** OFFICE, 50591 AMERIPRISE FINANCIAL CENTER (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) MINNEAPOLIS, MNÂ 55474 \_X\_ Form Filed by One Reporting Person \_ Form Filed by More than One Reporting Person (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (D) or Disposed of (D) Beneficially Beneficial any Code (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(A)

or

Amount (D) Price

of Issuer's

Fiscal Year

(Instr. 3 and 4)

(Instr. 4)

**SEC 2270** (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. 1 De See (In
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	Â	11/18/2005	Â	A4	6.056	Â	(2)	(2)	Common Stock	6.056	\$

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## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Sharpe Robert Francis JR GENERAL COUNSEL'S OFFICE 50591 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474	ÂX	Â	Â	Â			
Signatures							
/s/ Julie E. Ramacher for Robert F Sharpe, Jr.	02/14/2006						
<u>**</u> Signature of Reporting Person	Da	ate					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each phantom unit represents the right to receive one share of Ameriprise Financial Common Stock. Deemed dividends were awarded for(1) the reported deferred share unit award granted to the reporting director under the Ameriprise Financial Deferred Share Plan. The record date for the deemed dividends was 11.04.05 and the deemed dividends were credited on 11.18.05.

Upon ceasing to be a non-employee director of Ameriprise Financial, Inc. (the "Company"), the reporting director will receive Common Stock of the Company in settlement of the reporting director's deferred share units on a one-for-one basis in a lump sum at the end of the

(2) Stock of the company in settlement of the reporting director's derived share times on a one-for-one basis in a timp sum at the end of the quarter immediately following the quarter in which the reporting director's termination of service on the Company's Board of Directors occurs.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.