

BALLY TECHNOLOGIES, INC.
Form 4
August 21, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Mooberry Derik

2. Issuer Name and Ticker or Trading Symbol
BALLY TECHNOLOGIES, INC.
[BYI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
6601 S. BERMUDA ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/19/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP, Games

LAS VEGAS, NV 89119

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock, par value \$.10 per share	08/19/2013		M		2,000	A	\$ 12.07	29,557	D	
Common Stock, par value \$.10 per share	08/19/2013		M		10,000	A	\$ 20.32	39,557	D	
Common Stock, par value \$.10 per share	08/19/2013		M		7,000	A	\$ 34.61	46,557	D	

Edgar Filing: BALLY TECHNOLOGIES, INC. - Form 4

Common Stock, par value \$.10 per share 08/19/2013 M 5,625 A \$ 32.62 52,182 D

Common Stock, par value \$.10 per share 08/19/2013 M 2,000 A \$ 38.2 54,812 D

Common Stock, par value \$.10 per share 08/19/2013 M 1,000 A \$ 37.76 55,182 D

Common Stock, par value \$.10 per share 08/19/2013 S 27,625 D \$ 71.1554 ⁽¹⁾ 27,557 D

Common Stock, par value \$.10 per share 340 I Derik J Mooberry and Barbara Mooberry Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Right to	\$ 12.07	08/19/2013		M	2,000	⁽²⁾ 08/24/2015	Common Stock, par value \$.10 per

Buy)								share
Employee Stock Option (Right to Buy)	\$ 20.32	08/19/2013	M	10,000	<u>(3)</u>	10/14/2015	Common Stock, par value \$.10 per share	10,000
Employee Stock Option (Right to Buy)	\$ 34.61	08/19/2013	M	7,000	<u>(4)</u>	07/21/2016	Common Stock, par value \$.10 per share	7,000
Employee Stock Option (Right to Buy)	\$ 32.62	08/19/2013	M	5,625	<u>(5)</u>	08/16/2017	Common Stock, par value \$.10 per share	5,625
Employee Stock Option (Right to Buy)	\$ 38.2	08/19/2013	M	2,000	<u>(6)</u>	04/01/2018	Common Stock, par value \$.10 per share	2,000
Employee Stock Option (Right to Buy)	\$ 37.76	08/19/2013	M	1,000	<u>(7)</u>	12/06/2018	Common Stock, par value \$.10 per share	1,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mooberry Derik 6601 S. BERMUDA ROAD LAS VEGAS, NV 89119			SVP, Games	

Signatures

Mark Lerner, attorney-in-fact for: Derik Mooberry
08/21/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transaction was executed in multiple trades at prices from \$71.02 to \$71.47. The price above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Edgar Filing: BALLY TECHNOLOGIES, INC. - Form 4

- (2) The options vested as follows: (i) 2,666 on August 24, 2006; (ii) 2,666 on August 24, 2007; and, (iii) 2,668 on August 24, 2008.
- (3) The options vested as follows: (i) 5,000 on October 14, 2010; (ii) 2,500 on October 14, 2011; and, (iii) 2,500 on October 14, 2012.
- (4) The options vested as follows: (i) 1,750 on July 21, 2010; (ii) 1,750 on July 21, 2011, (iii) 1,750 on July 21, 2012; and, (iv) 1,750 on July 21, 2013.
- (5) The options vest as follows: (i) 3,750 on August 16, 2012; (ii) 1,875 on August 16, 2013; and, (iii) 1,875 on August 16, 2014.
- (6) The options vested as follows: (i) 1,000 on April 1, 2012; (ii) 1,000 on April 1, 2013, (iii) 1,000 on April 1, 2014; and, (iv) 1,000 on April 1, 2015.
- (7) The options vested as follows: (i) 1,000 on December 6, 2012; (ii) 1,000 on December 6, 2013, (iii) 1,000 on December 6, 2014; and, (iv) 1,000 on December 6, 2015.
- (8) Granted as compensation for services.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.