

ALPHA PRO TECH LTD  
Form 4  
September 04, 2013

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RITOTA JOHN

(Last) (First) (Middle)

60 CENTURIAN DRIVE SUITE # 112

(Street)

MARKHAM, A6 L3R 9R2

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ALPHA PRO TECH LTD [APT]

3. Date of Earliest Transaction (Month/Day/Year)  
08/30/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4)            |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |
| common stock                    | 08/30/2013                           |  | M                              | 15,000  | A \$ 1.23   | 50,850 (1)   | D   |
| common stock                    | 08/30/2013                           |  | S                              | 15,000  | D \$ 1.548  | 35,850   | D   |
| common stock                    |                                      |  |                                |   |   | 19,400   | I by spouse, Dr.Ritota disclaims beneficial ownership |
| common stock                    |                                      |  |                                |   |   | 5,000  | I by daughter,  |

Dr. Ritota disclaims beneficial ownership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| options                                    | \$ 1.23  | 08/30/2013                           |  | M                              | 5,000   | 09/10/2009 09/10/2013                                    | common stock  | 5,000                         |
| options                                    | \$ 1.23  | 08/30/2013                           |  | M                              | 5,000   | 09/10/2010 09/10/2013                                    | common stock  | 5,000                         |
| options                                    | \$ 1.23  | 08/30/2013                           |  | M                              | 5,000   | 09/10/2011 09/10/2013                                    | common stock  | 5,000                         |

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| RITOTA JOHN<br>60 CENTURIAN DRIVE SUITE # 112<br>MARKHAM, A6 L3R 9R2 | X             |           |         |       |

## Signatures

Dr. John Ritota 09/04/2013

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) this total reflects an additional 15,850 shares of common stock beneficially owned by the reporting person that have previously been inadvertently omitted from the reporting person's Section 16 filing. These shares will be included in the reporting person's beneficial ownership reporting calculation on a going forward basis.

(2) This total also reflects the expiration and disposition of 15,000 stock options granted to the reporting person on August 29, 2007 with an exercise price of \$1.50, which expired on August 29, 2012 (the "Expired Options"). The reporting person did not receive any value in connection with the expiration of the Expired Options; therefore, the resulting disposition of the Expired Options was exempt from Section 16(b) by virtue of Rule 16b-6(d) and further from the reporting requirements of Section 16(a) by virtue of Rule 16a-4(d), both rules promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.