

SUPREME INDUSTRIES INC

Form 4

May 12, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BARRETT WILLIAM J**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**SUPREME INDUSTRIES INC**  
**[STS]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**05/11/2016**

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)  
Exec V P and Secretary

**P O BOX 6199**

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**FAIR HAVEN, NJ 07704**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                     | Price                                                             |
| Class A<br>Common<br>Stock            | 05/11/2016                              |                                                             | M                                    |                                                                         | 15,750                                                                                                             | A                                                                    | \$ 1.63 677,573                                                   |
| Class A<br>Common<br>Stock            | 05/11/2016                              |                                                             | F                                    |                                                                         | 1,990                                                                                                              | D                                                                    | \$ 12.89 675,583                                                  |
| Class A<br>Common<br>Stock            | 05/11/2016                              |                                                             | M                                    |                                                                         | 7,500                                                                                                              | A                                                                    | \$ 2.33 683,083                                                   |
| Class A<br>Common                     | 05/11/2016                              |                                                             | F                                    |                                                                         | 1,358                                                                                                              | D                                                                    | \$ 12.89 681,725                                                  |

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Stock

Class A

Common

05/11/2016

S

2,000

D

\$ 12.6 679,725

D

Stock

Class A

Common

Stock

178,500

I

By  
Grantor  
Trust <sup>(1)</sup>

Class A

Common

Stock

115,439

I

By Spouse  
<sup>(2)</sup>

Class B

Common

Stock

885,999 <sup>(3)</sup>

D

Class B

Common

Stock

16,857 <sup>(3)</sup>

I

By Spouse  
<sup>(2)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Amount<br>or<br>Number<br>of Shares |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------|
| Incentive<br>Stock<br>Option                        | \$ 1.63                                                            | 05/11/2016                              |                                                             | M                                    | 15,750                                                                                                       | <sup>(4)</sup> 06/25/2016                                      | Class A<br>Common<br>Stock                                          | 15,750                                 |
| Incentive<br>Stock<br>Option                        | \$ 2.33                                                            | 05/11/2016                              |                                                             | M                                    | 7,500                                                                                                        | <sup>(4)</sup> 09/30/2017                                      | Class A<br>Common<br>Stock                                          | 7,500                                  |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |                        |       |
|-----------------------------------------------------------|---------------|-----------|------------------------|-------|
|                                                           | Director      | 10% Owner | Officer                | Other |
| BARRETT WILLIAM J<br>P O BOX 6199<br>FAIR HAVEN, NJ 07704 | X             | X         | Exec V P and Secretary |       |

## Signatures

/s/ Julia A. Gardner, Attorney-in-Fact for William J. Barrett

05/12/2016

\_\_\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person disclaims beneficial ownership of shares owned by grantor trust except to the extent of the pecuniary interest owned by the reporting person and his spouse. Reporting person is the trustee of the grantor trust.
- (2) Reporting Person disclaims beneficial ownership of shares owned by spouse.
- (3) Class B Common Stock is freely convertible on a one-for-one basis into an equal number of shares of Class A Common Stock.
- (4) All options currently exercisable.
- (5) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.