

SUN MICROSYSTEMS, INC.  
 Form 3  
 May 02, 2008

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |  |  |   |  |   |
|--|--|--|---|--|---|
| 1. Name and Address of Reporting Person *<br>Splain Michael E<br>(Last) (First) (Middle)<br><br>4150 NETWORK CIRCLE<br>(Street)<br><br>SANTA CLARA, CA 95054<br>(City) (State) (Zip) | 2. Date of Event Requiring Statement<br>(Month/Day/Year)<br>04/28/2008 | 3. Issuer Name and Ticker or Trading Symbol<br>SUN MICROSYSTEMS, INC. [JAVA] | 4. Relationship of Reporting Person(s) to Issuer<br><br>(Check all applicable)<br><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below) (specify below)<br>EVP, Microelectronics | 5. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |
|--|--|--|---|--|---|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5)   |
|------------------------------------|--|---|--|
| Common Stock                       | 24,116.5 <sup>(1)</sup>                                  | D   | ^  |
| Common Stock                       | 10,000   | I   | Shares held by Michael E. Splain & Donna M. Splain JT/WROS |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|------------------------------------|---------------------------------|--|
|---|---|--|------------------------------------|---------------------------------|--|

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|  | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Derivative<br>Security | Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|--|---------------------|--------------------|-----------------|----------------------------------|------------------------|---|---|
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 04/18/2009         | Common<br>Stock | 2,500                            | \$ 74.32               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 06/13/2009         | Common<br>Stock | 2,500                            | \$ 65                  | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 09/27/2009         | Common<br>Stock | 3,750                            | \$ 31.64               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 11/07/2009         | Common<br>Stock | 6,250                            | \$ 50.36               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 11/07/2009         | Common<br>Stock | 6,250                            | \$ 50.36               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 03/19/2010         | Common<br>Stock | 50                               | \$ 36.56               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 03/19/2010         | Common<br>Stock | 3,750                            | \$ 36.56               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 07/25/2010         | Common<br>Stock | 7,500                            | \$ 14.8                | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 07/25/2010         | Common<br>Stock | 11,250                           | \$ 14.8                | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 05/21/2011         | Common<br>Stock | 11,250                           | \$ 16.8                | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 11/13/2011         | Common<br>Stock | 11,250                           | \$ 16.832              | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 05/18/2012         | Common<br>Stock | 10,000                           | \$ 15                  | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 09/17/2012         | Common<br>Stock | 12,500                           | \$ 15.76               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 11/10/2012         | Common<br>Stock | 5,000                            | \$ 18.4                | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 01/27/2013         | Common<br>Stock | 15,000                           | \$ 16.48               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 07/28/2013         | Common<br>Stock | 20,750                           | \$ 15.4                | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 11/02/2014         | Common<br>Stock | 17,500                           | \$ 21.32               | D   | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(3)</sup>    | 01/31/2015         | Common<br>Stock | 13,125                           | \$ 26.56               | D   | Â |
|  | Â <sup>(3)</sup>    | 11/14/2015         |                 | 15,525                           | \$ 20                  | D   | Â |

Employee Stock Option  
(Right to Buy) <sup>(2)</sup>

Common  
Stock

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                         |       |
|--|---------------|-----------|-------------------------|-------|
|  | Director      | 10% Owner | Officer                 | Other |
| Splain Michael E<br>4150 NETWORK CIRCLE<br>SANTA CLARA, CA 95054 | Â             | Â         | Â EVP, Microelectronics | Â     |

## Signatures

/s/ Michael E.  
Splain 04/30/2008

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 1,124 shares of unvested restricted stock and 19,875 shares of unvested restricted stock units.
- (2) This option was granted under the Sun Microsystems, Inc. 1990 Long-Term Equity Incentive Plan.
- (3) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.