

Smith Mark S
Form 4
March 12, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Smith Mark S

2. Issuer Name **and** Ticker or Trading
Symbol
American Water Works Company,
Inc. [AWK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1025 LAUREL OAK ROAD
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/08/2013

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)
VP & Chief Information Officer

VOORHEES, NJ 08043

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Common Stock	03/08/2013	03/08/2013	M		576	A	\$ 21.51	2,041 D
Common Stock	03/08/2013	03/08/2013	M		490	A	\$ 27.08	2,531 D
Common Stock	03/08/2013	03/08/2013	M		1,335	A	\$ 34.12	3,866 D
Common Stock	03/08/2013	03/08/2013	S		2,219	D	\$ 39.69	1,717 ⁽⁴⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title		Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 21.51	03/08/2013	03/08/2013	M	576	<u>(1)</u>	12/31/2016	Common Stock		576
Employee Stock Option (right to buy)	\$ 27.08	03/08/2013	03/08/2013	M	490	<u>(2)</u>	12/31/2017	Common stock		490
Employee Stock Option (right to buy)	\$ 34.12	03/08/2013	03/08/2013	M	1,335	<u>(3)</u>	12/31/2018	Common Stock		1,335

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Smith Mark S 1025 LAUREL OAK ROAD VOORHEES, NJ 08043			VP & Chief Information Officer	

Signatures

/s/ Karen K. Pettiford-Wilson by Power of Attorney previously
filed

03/12/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents Option Award granted on March 25, 2010, representing a right to purchase 1,728 shares at \$21.51, became exercisable on January 1, 2011, January 1, 2012 and January 1, 2013.
- (2) Represents Option Award granted on February 24, 2011, representing a right to purchase 1,471 shares at \$27.08, became exercisable 1/3 January 1, 2012 and 1/3 on January 1, 2013.
- (3) Represents Option Award granted on February 23, 2012, representing a right to purchase 1,471 shares at \$34.12, became exercisable 1/3 January 1, 2013.
- (4) Includes 70 shares purchased through the ESPP on March 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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