

Pape Kathy L
Form 4
May 15, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Pape Kathy L

2. Issuer Name **and** Ticker or Trading
Symbol
American Water Works Company,
Inc. [AWK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1025 LAUREL OAK ROAD
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/13/2013

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)
President, PA American Water

VOORHEES, NJ 08043

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	05/13/2013	05/13/2013	M		221	A	\$ 21.5	19,935	D
Common Stock	05/13/2013	05/13/2013	M		358	A	\$ 21.5	20,293	D
Common Stock	05/13/2013	05/13/2013	M		2,285	A	\$ 20.7	22,578	D
Common Stock	05/13/2013	05/13/2013	M		14,449	A	\$ 22.31	37,027	D
Common Stock	05/13/2013	05/13/2013	M		8,950	A	\$ 27.08	45,977	D

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Common Stock	05/13/2013	05/13/2013	S	26,263	D	\$ 41.77	19,714	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 21.5	05/13/2013	05/13/2013	M	221	<u>(1)</u>	12/31/2013	Common Stock	221
Employee Stock Option (right to buy)	\$ 21.5	05/13/2013	05/13/2013	M	358	<u>(2)</u>	12/31/2014	Common Stock	358
Employee Stock Option (right to buy)	\$ 20.7	05/13/2013	05/13/2013	M	2,285	<u>(3)</u>	12/31/2015	Common Stock	2,285
Employee Stock Option (right to buy)	\$ 22.31	05/13/2013	05/13/2013	M	14,449	<u>(4)</u>	12/31/2016	Common Stock	14,449
Employee Stock Option (right to buy)	\$ 27.08	05/13/2013	05/13/2013	M	8,950	<u>(5)</u>	12/31/2017	Common Stock	8,950

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Pape Kathy L 1025 LAUREL OAK ROAD VOORHEES, NJ 08043	President, PA American Water

Signatures

/s/ Karen K. Pettiford-Wilson by Power of Attorney previously filed 05/15/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Option Award granted on April 22, 2008, representing a right to purchase a total of 10,034 shares at \$21.50, became exercisable on January 1, 2010.
- (2) The Option Award granted on April 22, 2008, representing a right to purchase a total of 11,295 shares at \$21.50, became exercisable on January 1, 2011.
- (3) The Option Award granted on February 20, 2009, representing a right to purchase a total of 20,177 shares at \$20.70, became exercisable on January 1, 2010, 2011 and 2012.
- (4) The Option Award granted on February 25, 2010, representing a right to purchase a total of 16,816 shares at \$22.31, became exercisable on January 1, 2011, 2012 and 2013.
- (5) The Option Award granted on February 24, 2011, representing a right to purchase a total of 15,029 shares at \$27.08, became exercisable on January 1, 2012, 2013 and 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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