### Edgar Filing: AMERICAN SOFTWARE INC - Form 4

AMERICAN SOFTWARE INC Form 4 September 11, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KLINGES VINCENT C Issuer Symbol AMERICAN SOFTWARE INC (Check all applicable) [AMSWA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 470 EAST PACES FERRY ROAD 09/09/2013 CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ATLANTA, GA 30305 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Class A Common C<sup>(1)</sup> D 09/09/2013 6.510 A \$ 4.66 79,954 Stock Class A \$8.18 Common 09/09/2013 S 6.510 D 73.444 D (2) Stock Class A Common 09/10/2013 C<sup>(4)</sup> 20,216 \$4.66 D A 93,660 Stock Class A D 09/10/2013 S 20,216 D \$ 73,444 Common 8.2286

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#### Stock

### (2) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeriv Secur Acqu or Di (D)	rities iired (A) sposed of : 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Acquire Class A Common Stock	\$ 4.66	09/09/2013		C <u>(1)</u>		6,510	07/12/2011	07/12/2016	Class A Common Stock	6,510
Options to Acquire Class A Common Stock	\$ 4.66	09/10/2013		C <u>(4)</u>		20,216	07/12/2011	07/12/2016	Class A Common Stock	20,216

### **Reporting Owners**

Reporting Owner Name / A	Relationships						
	ing o wher induces		10% Owner	Officer	Other		
KLINGES VINCENT C 470 EAST PACES FERRY ATLANTA, GA 30305	ROAD			CFO			
Signatures							
Vincent Klinges 09/		13					
**Signature of	Date						

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On September 9, 2013, the Reporting Person converted 6,510 options into an equal number of shares of Class A Common Stock.
- (2) The Reporting Peerson underetakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each price for all transactions reported on this Form 4.
- (3) Represents the weighed average sales price for price increments ranging from \$8.19 to \$8.37.
- (4) On September 10, 2013, the Reporting Person converted 20,216 options into an equal number of shares of Class A Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.