#### Edgar Filing: CADIZ INC - Form 4

CADIZ INC											
Form 4											
January 05, 2	016										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								т	PPROVAL		
								ONID	3235-0287		
Check thi	s box		vv as	mington,	D.C. 203	549			Number:	January 31	
if no long		MENT O	FCHAN	CES IN I	RENEFI	CIA	гом	NERSHIP OF	Expires:	2005	
subject to			T CHAIV	SECUR					Estimated		
Section 10 Form 4 or				BECCK					burden hours per response 0.		
Form 5		irsuant to	Section 16	6(a) of the	e Securiti	ies Ez	chan	ge Act of 1934,	response	. 0.0	
obligation	$^{18}$ Section 1'							of 1935 or Section	on		
may conti <i>See</i> Instru	nue.		) of the Inv	•	•	· ·					
1(b).	ction	( )			· · · ·						
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <sup>*</sup> 2. Issuer Name <b>and</b> Ticker or Trading 5. Relationship of					5. Relationship o	of Reporting Per	rson(s) to				
Grant Geoffi		2. Issuer Name and Ticker or Trading Symbol CADIZ INC [CDZI]					(Check all applicable) X Director 10% Owner				
	-										
(Leet)											
(Last)		3. Date of Earliest Transaction (Month/Day/Year)									
C/O CADIZ	INC., 550 SO	TH	01/01/20	-				Officer (give title Other (specify			
HOPE STRE	01/01/2010					below)					
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
	Filed(Month/Day/Year)					Applicable Line)					
T HOU/H				, 2 uj, 1 cui)				_X_ Form filed by One Reporting Person			
LOS ANGE	LES, CA 9007	1						Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)									
(City)	× ,			e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of	2. Transaction D			3.4. Securities				5. Amount of	6. Ownership		
Security (Instr. 3)	(Month/Day/Yea	ar) Executi any	on Date, if		TransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			Securities Beneficially Owned	Form: Direct (D) or Indirect (I)	Indirect Beneficial	
(Insu: 5)		•	/Day/Year)	(Instr. 8)						Ownership	
		,		. ,				Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s) (Instr. 3 and 4)			
G				Code V		(D)	Price	(insure and i)			
Common	01/01/2016			А	1,340 (1)	А	\$0	129,403 <u>(2)</u>	D		
Stock					(1)						
Common								30,500	Ι	By Trust	
Stock								50,500	1	(3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

**Reporting Owner Name / Address** 

**Relationships** 

10% Owner Officer Other Director

Grant Geoffrey T C/O CADIZ INC. 550 SOUTH HOPE STREET, SUITE 2850 LOS ANGELES, CA 90071

# Signatures

Geoffrey T. 01/05/2016 Grant

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- These shares were allocated to the Reporting Person under the 2014 Equity Incentive Plan in lieu of cash compensation for services (1)rendered by the Reporting Person as a director of the Issuer for the 3-month period beginning January 1, 2016.
- Includes 2,370 shares allocated to the Reporting Person under the 2014 Equity Incentive Plan for services rendered by the Reporting (2) Person as a director of the Issuer for the 12-month period ended June 30, 2015, which shares will vest on January 31, 2016.

These shares are held in five separate trusts, each holding 6,100 shares for the benefit of a child of the Reporting Person. The trustee of (3) these trusts is not a member of the Reporting Person's immediate family. The Reporting Person disclaims beneficial ownership for the securities held by these trusts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.