PEGASYSTEMS INC Form SC 13G/A February 04, 2016

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### **SCHEDULE 13G**

**Under the Securities Exchange Act of 1934** 

(Amendment No. 15)\*

Pegasystems Inc.

(Name of Issuer)

Common Stock, \$.01 par value per share

(Title of Class of Securities)

705573 103

(CUSIP Number)

**December 31, 2015** 

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

" Rule 13d-1(b)

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"Rule 13d-1(c)

x Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup> The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

### CUSIP No. 705573 10 3

Alan Trefler

39,669,998

1. Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only).

2.	N/A Check the Appropriate Box if a Member of a Group (See Instructions)		
	(a) "	(	b) "
3.	SEC U	Jse C	Only
4.	Citizenship or Place of Organization		
	United	l Sta 5.	tes Sole Voting Power
Num	ber of		
Shares		6.	39,505,998 Shared Voting Power
Bene	ficially		
Own	ed by		164,000
E	ach	7.	Sole Dispositive Power
Rep	orting		
Person		8.	39,505,998 Shared Dispositive Power
W	ith		
9.	Aggre	gate	164,000 Amount Beneficially Owned by Each Reporting Person

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10.	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)
11.	Percent of Class Represented by Amount in Row (9)
12.	52.00% Type of Reporting Person (See Instructions)
	IN

Item 1. (a) Name of Issuer				
Pegasystems Inc.				
(b) Address of Issuer s Principal Executive Offices				
1 Rogers Street				
Cambridge, MA 02142				
Item 2. (a) Name of Person Filing				
Alan Trefler				
(b) Address of Principal Business Office or, if none, Residence				
The business address of the reporting person is:				
c/o Pegasystems Inc.				
1 Rogers Street				
Cambridge, MA 02142				
(c) Citizenship				
United States				
(d) Title of Class of Securities				
Common Stock, \$.01 par value per share (the Common Stock ), of Pegasystems Inc.				
(e) CUSIP Number				
705573 10 3				
Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:  (a) Not Applicable.				

## Item 4. Ownership.

(a) Amount beneficially owned:

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Alan Trefler is the beneficial owner of 39,669,998 shares of Common Stock which includes 164,000 shares held by the Trefler Foundation, of which Mr. Trefler is a trustee. Mr. Trefler has no pecuniary interest in the 164,000 shares of Pegasystems Inc. stock which are held by the Trefler Foundation. Mr. Trefler retains voting and dispositive ownership over such shares.

#### (b) Percent of class:

The 39,669,998 shares of Common Stock beneficially owned by Alan Trefler represent 52.0% of the outstanding shares of Common Stock. The foregoing percentage was calculated based on the 76,650,576 shares of Common Stock outstanding as of December 31, 2015.

- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote of 39,505,998 shares.
  - (ii) Shared power to vote or to direct the vote of 164,000 shares.
  - (iii) Sole power to dispose or to direct the disposition of 39,505,998 shares.
  - (iv) Shared power to dispose or to direct the disposition of 164,000 shares.

#### Item 5. Ownership of Five Percent or Less of a Class

N/A

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

N/A

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

N/A

# ${\bf Item~8.~~Identification~and~Classification~of~Members~of~the~Group}$

N/A

# Item 9. Notice of Dissolution of Group

N/A

### Item 10. Certification

N/A

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 4, 2016 Date

/s/ ALAN TREFLER Signature

Alan Trefler Name/Title

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