Edgar Filing: LUCENT TECHNOLOGIES INC - Form 4

LUCENT TECHNOLOGIES INC Form 4 November 30, 2006 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SCHACHT HENRY B Issuer Symbol LUCENT TECHNOLOGIES INC (Check all applicable) [LU] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 600 MOUNTAIN AVENUE 11/30/2006 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting MURRAY HILL, NJ 07974 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of 7. Nature of 3. 6. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Amount Price Code V (D) Common 1,141,127 11/30/2006 D D \$0 0 (1) D (1) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nui Sha
Employee Stock Options (Right to buy)	\$ 13.0455	11/30/2006		D	323,141 <u>(2)</u>	11/30/2006	01/19/2007	Common Stock	3
Director Stock Options (Right to buy)	\$ 44.4248	11/30/2006		D	12,462 <u>(2)</u>	11/30/2006	02/28/2009	Common Stock	
Director Stock Options (Right to buy)	\$ 62.2604	11/30/2006		D	10,528 <u>(2)</u>	11/30/2006	02/28/2010	Common Stock	
Director Stock Options (Right to buy)	\$ 1.505	11/30/2006		D	5,000 <u>(2)</u>	11/30/2006	03/27/2013	Common Stock	
Employee Stock Options (Right to buy)	\$ 16.0313	11/30/2006		D	2,000 <u>(2)</u>	11/30/2006	12/25/2010	Common Stock	2,
Employee Stock Options (Right to buy)	\$ 21.5071	11/30/2006		D	402,920 <u>(2)</u>	11/30/2006	10/05/2007	Common Stock	4
Employee Stock Options (Right to buy)	\$ 13.0455	11/30/2006		D	402,920 <u>(2)</u>	11/30/2006	01/19/2007	Common Stock	3
Deferred Stock Units	\$ 0 <u>(1)</u>	11/30/2006		D	14,455.405 (2)	(3)	(3)	Common Stock	14

2

Reporting Owners

Reporting Owner Name / Address

Relationships

10% Owner Officer Other

SCHACHT HENRY B 600 MOUNTAIN AVENUE MURRAY HILL, NJ 07974

Signatures

s/ Henry B. Schacht, by William R. Carapezzi, Jr., as attorney in fact

Director

X

11/30/2006

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to the terms of the merger agreement between Alcatel and Lucent Technologies Inc., each share of Lucent common stock, par value \$0.01 per share, was converted into 0.1952 of an American Depositary Share of Alcatel-Lucent (the combined company).
- (2) Pursuant to the terms of the merger agreement, each option to purchase Lucent stock was converted into the right to purchase 0.1952 of an American Depositary Share of Alcatel-Lucent (the combined company).
- (3) Payable generally following termination of service as a director or upon attainment of a specified age.

Remarks:

See attached footnote page.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.