Smith Sherrell Form 4 December 13, 2007

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

**OMB APPROVAL** 

See Instruction

1(b).

(Print or Type Responses)

par value

1. Name and Address of Reporting Person * Smith Sherrell			2. Issuer Name <b>and</b> Ticker or Trading Symbol UNIVERSAL TECHNICAL INSTITUTE INC [UTI]				ng	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) 20410 NOR AVENUE, S		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/11/2007				Director 10% Owner Selfow) Other (specify below)  Sr. VP of Operations			
	(Street)			ndment, Da nth/Day/Year	_	1		6. Individual or Jo Applicable Line) _X_Form filed by 0	One Reporting Pe	rson
PHOENIX,	AZ 85027							Form filed by N Person	More than One Re	porting
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock, \$0.0001 par value	12/11/2007			F	18	D	\$ 17.48	5,257	I	Owned by Spouse
Common Stock, \$0.0001								47,012	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. I Der Sec (In:

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 23.63					02/28/2008	02/28/2017	Common Stock	2,800
Employee Stock Option (Right to Biuy)	\$ 20.5					12/17/2004	12/17/2013	Common Stock	100
Employee Stock Option (Right to Buy)	\$ 20.5					12/17/2004	12/17/2013	Comon Stock	20,000
Employee Stock Option (Right to Buy)	\$ 38.46					02/16/2006	02/16/2015	Common Stock	12,000
Employee Stock Option (Right to Buy)	\$ 23.25					06/15/2007	06/15/2016	Common Stock	11,000
Employee Stock	\$ 18.22					09/01/2007	09/01/2016	Common Stock	5,500

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Option (Right to Buy)					
Employee Stock Option (Right to Buy)	\$ 20.5	12/17/2004	12/17/2013	Common Stock	100
Employee Stock Option (Right to Buy)	\$ 20.5	12/17/2004	12/17/2013	Common Stock	3,500
Employee Stock Option (Right to Buy)	\$ 38.46	02/16/2006	02/16/2015	Common Stock	600
Employee Stock Option (Right to Buy)	\$ 23.25	06/15/2007	06/15/2016	Common Stock	4,100
Employee Stock Option (Right to Buy)	\$ 22.21	12/11/2007	12/11/2016	Common Stock	1,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	100% Oxynor	Officer	Other		

Smith Sherrell

20410 NORTH 19TH AVENUE

SUITE 200

PHOENIX, AZ 85027

Sr. VP of Operations

### **Signatures**

Sherrell Smith 12/13/2007

\*\*Signature of Reporting Person

Date

Reporting Owners 3

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.