### Edgar Filing: WHITMAN STEPHEN V R - Form 3

#### WHITMAN STEPHEN V R

Form 3

March 30, 2010

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

WHITMAN STEPHEN V R

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/30/2010

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SS&C Technologies Holdings Inc [SSNC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O SS&C TECHNOLOGIES HOLDINGS, INC., Â 80 LAMBERTON ROAD

(Street)

Director \_X\_\_ Officer (give title below) (specify below)

(Check all applicable)

SVP and General Counsel

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

WINDSOR, CTÂ 06095

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

Date

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4) Expiration

Exercisable Date Title

Amount or Number of Derivative Security

Derivative Security: Direct (D)

### Edgar Filing: WHITMAN STEPHEN V R - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	02/06/2013	Common Stock	63,418	\$ 1.77	D	Â
Stock Option (right to buy)	(2)	08/09/2016	Common Stock	120,686	\$ 8.77	D	Â
Stock Option (right to buy)	(3)	08/09/2016	Common Stock	120,686	\$ 8.77	D	Â
Stock Option (right to buy)	(4)	08/09/2016	Common Stock	60,343	\$ 8.77	D	Â
Stock Option (right to buy)	(5)	02/04/2020	Common Stock	42,500	\$ 14.53	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		10% Owner	Officer	Other			
WHITMAN STEPHEN V R C/O SS&C TECHNOLOGIES HOLDINGS, INC. 80 LAMBERTON ROAD WINDSOR, CT 06095	Â	Â	SVP and General Counsel	Â			

### **Signatures**

/s/ Stephen V.R. 03/30/2010 Whitman

\*\*Signature of Reporting Date
Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested as to 25% of the shares on the first anniversary of the date of grant and 1/36 of the remaining number of shares monthly thereafter until November 23, 2005 when the option became fully vested as to 100% of the shares to the extent it was not fully vested.
- (2) The option is a "time-based" option that vested as to 25% of the shares on November 23, 2006 and as to 1/36 of the shares each month thereafter until fully vested on November 23, 2009.
  - The option is a "performance-based" option that vests based on the determination by the Issuer's board of directors or compensation committee as to the satisfaction of certain performance criteria for each fiscal year 2006 through 2010. In February 2009, the board of
- (3) directors approved the immediate vesting of the 2006, 2007 and 2008 performance-based options that did not otherwise vest during 2006, 2007 and 2008. In addition, the performance criteria for 2009 were met, resulting in the vesting of 100% of the 2009 performance options.
- The option is a "superior" option, which will become a performance-based option upon the closing of the initial public offering of the

  (4) Issuer that vests based on the determination by the Issuer's board of directors or compensation committee as to the satisfaction of certain performance criteria for fiscal years 2010 and 2011.
- (5) The option vests as to 25% of the shares on February 4, 2011 and 1/36 of the remaining number of shares monthly thereafter. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Reporting Owners 2

### Edgar Filing: WHITMAN STEPHEN V R - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.