#### JAMES WILLIAM E

Form 4

January 05, 2012

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

**OMB APPROVAL** 

3235-0287 Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

obligations may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* JAMES WILLIAM E

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

PEABODY ENERGY CORP [BTU]

(Check all applicable)

(Last)

(City)

Stock

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 01/03/2012

X\_ Director 10% Owner Other (specify Officer (give title below)

C/O ROCKPORT CAPITAL PARTNERS, LLC, 160 FEDERAL STREET, 18TH FLOOR

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BOSTON, MA 02110-1776

|            |                     | Table 1 - Non-Delivative Securities Acquired, Disposed 61, 61 Delicitionary Owned |            |                     |     |                |                  |              |           |
|------------|---------------------|---|------------|---------------------|-----|----------------|------------------|--------------|-----------|
| 1.Title of | 2. Transaction Date | 2A. Deemed  | 3.         | 4. Securities       |     | 5. Amount of   | 6. Ownership     | 7. Nature of |           |
| Security   | (Month/Day/Year)    | Execution Date, if  | Transactio | tionAcquired (A) or |     |                | Securities       | Form: Direct | Indirect  |
| (Instr. 3) |                     | any   | Code       | Disposed of (D)     |     | Beneficially   | (D) or           | Beneficial   |           |
|            |                     | (Month/Day/Year)  | (Instr. 8) | (Instr. 3, 4 and 5) |     |                | Owned            | Indirect (I) | Ownership |
|            |                     |   |            | (A)                 |     | Following      | (Instr. 4)       | (Instr. 4)   |           |
|            |                     |   |            |                     |     | Reported       |                  |              |           |
|            |                     |   |            |                     |     | Transaction(s) |                  |              |           |
|            |                     |   | Code V     | A                   | or  | D.::           | (Instr. 3 and 4) |              |           |
|            |                     |   | Code V     | Amount              | (D) | Price          |                  |              |           |
| Common     | 01/03/2012          |   | A          | 3,584               | Α   | \$0            | 10,677           | D            |           |
| Stock      |                     |   |            | (1)                 |     | T .            | ,                |              |           |
| Common     |                     |   |            |                     |     |                |                  |              |           |
| Common     |                     |   |            |                     |     |                | 10 124 (2)       | T            | Dr. Tmist |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

18,134 (2)

By Trust

### Edgar Filing: JAMES WILLIAM E - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                               | 5.         | 6. Date Exerc    | cisable and | 7. Title  | and          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|----------------------------------|------------|------------------|-------------|-----------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber                |            | Expiration D     | ate         | Amoun     | t of         | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                             | of         | (Month/Day/      | Year)       | Underly   | ying         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative Securities |            |                  |             | Securit   | ies          | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                                  |            |                  |             | (Instr. 3 | 3 and 4)     |             | Own    |
|             | Security    |                     |                    |                                  | Acquired   |                  |             |           |              |             | Follo  |
|             | •           |                     |                    |                                  | (A) or     |                  |             |           |              |             | Repo   |
|             |             |                     |                    |                                  | Disposed   |                  |             |           |              |             | Trans  |
|             |             |                     |                    |                                  | of (D)     |                  |             |           |              |             | (Instr |
|             |             |                     |                    |                                  | (Instr. 3, |                  |             |           |              |             |        |
|             |             |                     |                    |                                  | 4, and 5)  |                  |             |           |              |             |        |
|             |             |                     |                    |                                  |            |                  |             |           | A manuat     |             |        |
|             |             |                     |                    |                                  |            |                  |             |           | Amount       |             |        |
|             |             |                     |                    |                                  |            | Date             | Expiration  |           | Or<br>Number |             |        |
|             |             |                     |                    |                                  |            | Exercisable Date | Date        |           | Number       |             |        |
|             |             |                     |                    | C + V                            | (A) (D)    |                  | of          |           |              |             |        |
|             |             |                     |                    | Code V                           | (A) (D)    |                  |             |           | Shares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

JAMES WILLIAM E C/O ROCKPORT CAPITAL PARTNERS, LLC 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110-1776

X

# **Signatures**

William E. James By: Kenneth L. Wagner Attorney-in-Fact

01/05/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represent deferred stock units that vest prorata over 12 months, and are converted into common stock at a later date based upon the **(1)** reporting person's election.
- Includes 1,435 shares previously owned directly which were contributed to the reporting person's trust and are now owned indirectly by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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