CELGENE CORP /DE/

Form 4 June 17, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* DRAKE RODMAN L

2. Issuer Name and Ticker or Trading Symbol

CELGENE CORP /DE/ [CELG]

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 06/13/2013

Filed(Month/Day/Year)

(Check all applicable)

X\_ Director 10% Owner Officer (give title Other (specify

C/O CELGENE

**CORPORATION, 86 MORRIS AVENUE** 

(Street)

(State)

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I Non Derivative Securities Acquired Disposed of an Deneficially Ov

**SUMMIT, NJ 07901** 

(City)

(,)	(= ::::)	Table	I - Non-De	erivative S	ecuri	ties Acc	quirea, Disposea a	it, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	ctionAcquired (A) or			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
		• •		, ,			Following	(Instr. 4)	(Instr. 4)
						Reported			
					(A)		Transaction(s)		
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price			
Common	06/13/2013		M	516	Α	\$0	17,879	D	
Stock	00/13/2013		IVI	310	A	(1)	17,079	D	
						_			
Common	06/15/2013		M	1.022	٨	\$ 0	10.012	D	
Stock	00/13/2013		M	1,033	A	(2)	18,912	D	
						_			
Common	06/16/2012		M	C05		\$ 0	10.507	Ъ	
Stock	06/16/2013		M	685	A	(3)	19,597	D	
						_			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

**SEC 1474** (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number stiom Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Instr
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u> <u>(4)</u> <u>(5)</u>	06/13/2013		M	516		<u>(1)</u>	<u>(1)</u>	Common Stock	516	\$ (
Restricted Stock Unit	(2) (5) (7)	06/15/2013		M	1,033		(2)	(2)	Common Stock	1,033	\$ (
Restricted Stock Unit	(3) (5)	06/16/2013		M	685		(3)	(3)	Common Stock	685	\$ (

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
DRAKE RODMAN L C/O CELGENE CORPORATION 86 MORRIS AVENUE SUMMIT, NJ 07901	X					

## **Signatures**

/s/Robert J Hugin\_\_\_\_\_ Robert J Hugin,
Attorney-in-Fact \_\_\_\_\_ Robert J Hugin,
O6/17/2013

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One-third of the restricted stock units granted to the reporting person on June 13, 2012 vested and 50% of such vested portion of the restricted stock units settled for shares of the Company's common stock at the reporting person's election to defer receipt of such shares.
- (2) One-third of the restricted stock units granted to the reporting person on June 15, 2011 vested and such vested portion of the restricted stock units settled for shares of the Company's common stock.

**(3)** 

Reporting Owners 2

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One-third of the restricted stock units granted to the reporting person on June 16, 2010 vested and such vested portion of the restricted stock units settled for shares of the Company's common stock.

- The remainder portion of the restricted stock units will vest in two equal annual installments on June 13, 2014 and June 13, 2015. Fifty (4) percent (50%) of the vested shares will be delivered to the reporting person promptly after June 13, 2015 at the reporting person's election to defer receipt of such shares.
- (5) Each restricted stock unit represents a contingent right to receive one share of the Company's common stock.
- (6) The restricted stock units were issued pursuant to the Company's 2008 Incentive Plan.
- (7) The remainder portion of the restricted stock units will vest on June 15, 2014. Vested shares will be delivered to the reporting person promptly after the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.