#### PEABODY ENERGY CORP

Form 4 January 06, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock

01/03/2014

(Print or Type Responses)

1. Name and Ao Ford Eric	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol PEABODY ENERGY CORP [BTU]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)						
(Last)	(First) (M	iddle) 3. Date of	3. Date of Earliest Transaction				(		-,			
701 MARKE	`	(Month/Day/Year) 01/02/2014				Director 10% Owner Selow) Other (give title Other (specify below) Chairman - Australia						
	4. If Ame	If Amendment, Date Original				6. Individual or Joint/Group Filing(Check						
ST. LOUIS,		· Zin)	Filed(Month/Day/Year)  Table I - Non-Derivative Securities Acc					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  quired, Disposed of, or Beneficially Owned				
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ities		5. Amount of	6. Ownership	7. Nature of			
Security	(Month/Day/Year)	Execution Date, if	on Date, if TransactionAcquired (A) or			or	Securities	Form: Direct	Indirect			
(Instr. 3) any		<b></b>	Code	· · · · · · · · · · · · · · · · · · ·		Beneficially	(D) or	Beneficial				
		(Month/Day/Year)	(Instr. 8)  Code V	(Instr. 3,	(A) or	5) Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)			
Common Stock	01/02/2014		F	834	D	\$0	203,598 (1)	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

668

D

\$0

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D

 $202,930^{(2)}$ 

#### Edgar Filing: PEABODY ENERGY CORP - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date	Title Nu of	Number		
				C + V	(A) (D)						
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Ford Eric

701 MARKET STREET Chairman - Australia

ST. LOUIS, MO 63101

### **Signatures**

Eric Ford By: Kenneth L. Wagner 01/06/2014

Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Amount includes 765 shares held by the reporting person in the Company's Employee Stock Purchase Plan and 1,881 shares acquired through dividend reinvestment that were not previously reported.
- (2) Amount includes 765 shares held by the reporting person in the Company's Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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