**AUTOLIV INC** Form 4 November 17, 2014

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Carlson Jan

(Middle)

C/O AUTOLIV. INC., VASAGATAN 11, 7TH **FLOOR** 

(Street)

(First)

2. Issuer Name and Ticker or Trading Symbol

**AUTOLIV INC [ALV]** 

(Month/Day/Year) 11/13/2014

3. Date of Earliest Transaction

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title \_ Other (specify below)

President & CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

### STOCKHOLM, V7 SE-111 20

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	ired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispos (Instr. 3, 4	ed of (4 and 5 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/13/2014		Code V M	Amount 20,000	(D)	Price \$ 51.67	75,861	D	
Common Stock	11/13/2014		M	15,000	A	\$ 57.4	90,861	D	
Common Stock	11/13/2014		M	5,000	A	\$ 59.01	95,861	D	
Common Stock	11/13/2014		M	15,000	A	\$ 67	110,861	D	
Common Stock	11/13/2014		M	18,895	A	\$ 69.18	129,756	D	

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Common Stock	11/13/2014	M	14,280	A	\$ 72.95	144,036	D
Common Stock	11/13/2014	M	24,000	A	\$ 44.7	168,036	D
Common Stock	11/13/2014	S	88,175	D	\$ 97.0015 (1) (2)	79,861	D
Common Stock	11/14/2014	S	2,000	D	\$ 97 (1)	77,861	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 51.67	11/13/2014		M		20,000	02/19/2009	02/19/2018	Common Stock	20,000
Employee Stock Option (right to buy)	\$ 57.4	11/13/2014		M		15,000	06/14/2008	06/14/2017	Common Stock	15,000
Employee Stock Option (right to buy)	\$ 59.01	11/13/2014		M		5,000	02/14/2008	02/14/2017	Common Stock	5,000
Employee Stock	\$ 67	11/13/2014		M		15,000	02/22/2013	02/22/2022	Common Stock	15,000

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Option (right to buy)								
Employee Stock Option (right to buy)	\$ 69.18	11/13/2014	M	18,895	02/19/2014	02/19/2023	Common Stock	18,895
Employee Stock Option (right to buy)	\$ 72.95	11/13/2014	M	14,280	02/22/2012	02/22/2021	Common Stock	14,280
Employee Stock Option (right to buy)	\$ 44.7	11/13/2014	M	24,000	02/18/2011	02/18/2020	Common Stock	24,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Carlson Jan C/O AUTOLIV, INC. VASAGATAN 11, 7TH FLOOR STOCKHOLM, V7 SE-111 20	X		President & CEO				

## **Signatures**

/s/ JAN

CARLSON 11/17/2014

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 plan adopted by the reporting person on August 22, 2014.
  - Reflects the weighted average sale price. The reporting person effected multiple same-way open market sale transactions on the same day at different prices through a trade order executed by a broker dealer. The reporting person reported on a single line all such transactions
- (2) that occurred within a one dollar price range. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a shareholder of the issuer, full information regarding the number of shares sold at each separate price. The range of prices for such transaction is \$97.00 to \$97.04

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3