#### Edgar Filing: Builders FirstSource, Inc. - Form 4

Builders FirstSource, Inc. Form 4 December 02, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **CROW M CHAD** Issuer Symbol Builders FirstSource, Inc. [BLDR] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title Other (specify 2001 BRYAN STREET, SUITE 11/30/2015 below) below) 1600 President, COO, and CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting DALLAS, TX 75201 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Direct (D) Ownership (Instr. 8) Owned Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common Stock, par value 11/30/2015 Μ 45,000 \$7.15 403,357 D А \$0.01 per share Common Stock, par value 11/30/2015 Μ 62,500 А \$ 3.19 465.857 D \$0.01 per share Common 11/30/2015 S 107.500 D \$ 358.357 D

Stock, par

13.83

value \$0.01 per share					<u>(1)</u>	
Common Stock, par value \$0.01 per share	12/01/2015	М	42,500	A	\$ 3.19 400,857	D
Common Stock, par value \$0.01 per share	12/01/2015	S	42,500	D	\$ 13.35 358,357 (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) $(3)$	\$ 7.15	11/30/2015		М		45,000	(4)	05/22/2018	Common Stock	45,000
Employee Stock Option (right to buy) (5)	\$ 3.19	11/30/2015		М		62,500	<u>(6)</u>	02/03/2020	Common Stock	62,500
Employee Stock Option (right to	\$ 3.19	12/01/2015		М		42,500	<u>(6)</u>	02/03/2020	Common Stock	42,500

Relationships

buy) (5)

## **Reporting Owners**

**Reporting Owner Name / Address** 

Director 10% Owner Officer

Other

CROW M CHAD 2001 BRYAN STREET SUITE 1600 DALLAS, TX 75201

President, COO, and CFO

## Signatures

/s/ M. Chad 12/02/2015 Crow

<u>\*\*</u>Signature of Reporting Person

#### Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$13.33 to \$14.04 per share. The price reported above reflects the
  (1) weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request by the SEC staff, the issuer, or a security holder of the issuer.

This transaction was executed in multiple trades at prices ranging from \$13.11 to \$13.55 per share. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request by the SEC staff, the issuer, or a security holder of the issuer.

- (3) Award issued under the Company's 2005 Incentive Plan.
- (4) The option was granted on May 22, 2008 and vested in 33.3% increments on each of February 26, 2009-2011
- (5) Award issued under the Company's 2007 Incentive Plan, as amended and restated on January 14, 2010.
- (6) The option was granted on February 3, 2010 and vested in 33.33% increments on each of February 3, 2012-2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.