POPULAR INC Form 5

February 13, 2017

## FORM 5

#### **OMB APPROVAL**

**OMB** 

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0362 Number: January 31, Expires:

2005

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Ad Ferre Maria I	•	rting Person *	2. Issuer Name and Ticker or Trading Symbol POPULAR INC [BPOP]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)	(Check all applicable)  _X_ Director 10% Owner 0vto (in title 0vto (in title ))			
P.O. BOX 1			12/31/2016	Officer (give title Other (specify below) below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting  (check applicable line)			
				, 11 /			

### SAN JUAN, PRÂ 00922-1924

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 7. Nature of 1. Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of Transaction (A) or Disposed of (D) Ownership Indirect (Instr. 3) (Month/Day/Year) Execution Date, if Securities Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at end Direct (D) Ownership of Issuer's or Indirect (Instr. 4) Fiscal Year (I) (A) (Instr. 3 and (Instr. 4) 4) Amount (D) Price Common Stock Â Â J(1)Par Value \$0.01 12/31/2016 263.888 Α \$0 31,398.887 D per share Common Stock Ranfe, Inc. Â Â Â Â Â Par Value \$0.01 Â 297 Ι per share Common Stock The Luis A. Â Â Â Â Par Value \$0.01 Â Â Ι 43,739 Foundation, Inc. (3) per share

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8.25%

Non-Cumulative

Monthly Income Â Â Â Â Â A 4,175 I by husband Preferred Stock

Series B

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Ferre Maria Luisa
P.O. BOX 11924

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SAN JUAN, PRÂ 00922-1924

## **Signatures**

Marie Reyes-Rodriguez, Attorney-in-fact 02/13/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired pursuant to reinvestment of dividends paid by the Corporation and which are exempt under Section 16 of the Securities Exchange Act.
- (2) Ranfe Inc. is 100% owned by the Ferre Rangel siblings.
- (3) Ms. Ferre is the President and a Trustee of The Luis A. Ferre Foundation, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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