

ALIGN TECHNOLOGY INC

Form 4

November 08, 2004

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Number: 3235-0287
Expires: January 31,
2005
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ABOLFATHI AMIR

2. Issuer Name **and** Ticker or Trading
Symbol
ALIGN TECHNOLOGY INC
[ALGN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O ALIGN TECHNOLOGY
INC, 881 MARTIN AVENUE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/05/2004

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
VP, R&D

SANTA CLARA, CA 95050

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	11/05/2004	11/05/2004	M		2,400	A	\$ 4.18	125,674 ⁽¹⁾	D
Common Stock	11/05/2004	11/05/2004	S		2,400	D	\$ 10.9913	123,274	D
Common Stock	11/08/2004	11/08/2004	M		30,944	A	\$ 41.8	154,218	D
Common Stock	11/08/2004	11/08/2004	S		200	D	\$ 10.99	154,018	D
Common Stock	11/08/2004	11/08/2004	S		100	D	\$ 10.93	153,918	D

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Common Stock	11/08/2004	11/08/2004	S	6,725	D	\$ 10.9	147,193	D
Common Stock	11/08/2004	11/08/2004	S	27,173	D	\$ 10.87	120,020	D
Common Stock	11/08/2004	11/08/2004	S	318	D	\$ 10.81	119,702	D
Common Stock	11/08/2004	11/08/2004	S	3,777	D	\$ 10.78	115,925	D
Common Stock	11/08/2004	11/08/2004	S	63	D	\$ 10.73	115,862	D
Common Stock	11/08/2004	11/08/2004	S	1,208	D	\$ 10.81	114,654	D
Common Stock	11/08/2004	11/08/2004	S	1,400	D	\$ 10.98	113,254	D
Common Stock	11/08/2004	11/08/2004	S	5,900	D	\$ 10.92	107,354	D
Common Stock	11/08/2004	11/08/2004	S	100	D	\$ 10.89	107,254	D
Common Stock	11/08/2004	11/08/2004	S	3,760	D	\$ 10.86	103,494	D
Common Stock	11/08/2004	11/08/2004	S	4,144	D	\$ 10.8	99,350	D
Common Stock	11/08/2004	11/08/2004	S	3,232	D	\$ 10.77	96,118	D
Common Stock	11/08/2004	11/08/2004	S	100	D	\$ 10.72	96,018	D
Common Stock	11/08/2004	11/08/2004	S	1,400	D	\$ 10.94	94,618	D
Common Stock	11/08/2004	11/08/2004	S	300	D	\$ 10.91	94,318	D
Common Stock	11/08/2004	11/08/2004	S	1,715	D	\$ 10.88	92,603	D
Common Stock	11/08/2004	11/08/2004	S	8,345	D	\$ 10.85	84,258	D
Common Stock	11/08/2004	11/08/2004	S	100	D	\$ 10.79	84,158	D
Common Stock	11/08/2004	11/08/2004	S	44,883	D	\$ 10.76	39,275	D
Common Stock	11/08/2004	11/08/2004	S	28,941	D	\$ 10.71	10,334	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Right to buy (Common Stock)	\$ 4.18	11/05/2004	11/05/2004	M		2,400		02/26/2003	02/26/2012	Common Stock	2,400
Right to buy (Common Stock)	\$ 4.18	11/08/2004	11/08/2004	M		30,944		02/26/2003	02/26/2012	Common Stock	30,944

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
ABOLFATHI AMIR C/O ALIGN TECHNOLOGY INC 881 MARTIN AVENUE SANTA CLARA, CA 95050	VP, R&D

Signatures

Amir Abolfathi 11/08/2004

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 1,208 shares acquired by Reporting Person in July 2004 under ALGN Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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