

ALIGN TECHNOLOGY INC  
Form 4  
August 08, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**PRESCOTT THOMAS M**

(Last) (First) (Middle)

**C/O ALIGN TECHNOLOGY  
INC, 881 MARTIN AVENUE**

(Street)

**SANTA CLARA, CA 95050**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ALIGN TECHNOLOGY INC**  
**[ALGN]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**08/06/2007**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
**President and CEO**

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	08/06/2007	08/06/2007	M		14,400	A \$ 4.95	136,564 <sup>(1)</sup> D
Common Stock	08/06/2007	08/06/2007	S		3,500	D \$ 26.75	133,064 D
Common Stock	08/06/2007	08/06/2007	S		300	D \$ 26.76	132,764 D
Common Stock	08/06/2007	08/06/2007	S		1,000	D \$ 26.77	131,764 D
Common Stock	08/06/2007	08/06/2007	S		1,700	D \$ 26.78	130,064 D

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Common Stock	08/06/2007	08/06/2007	S	500	D	\$ 26.79	129,564	D
Common Stock	08/06/2007	08/06/2007	S	3,000	D	\$ 26.8	126,564	D
Common Stock	08/06/2007	08/06/2007	S	2,400	D	\$ 26.82	124,164	D
Common Stock	08/06/2007	08/06/2007	S	1,000	D	\$ 26.83	123,164	D
Common Stock	08/06/2007	08/06/2007	S	1,000	D	\$ 26.9	122,164	D
Common Stock	08/07/2007	08/07/2007	M	29,000	A	\$ 4.95	151,164	D
Common Stock	08/07/2007	08/07/2007	S	2,000	D	\$ 26.75	149,164	D
Common Stock	08/07/2007	08/07/2007	S	500	D	\$ 26.77	148,664	D
Common Stock	08/07/2007	08/07/2007	S	1,000	D	\$ 26.8	147,664	D
Common Stock	08/07/2007	08/07/2007	S	500	D	\$ 26.81	147,164	D
Common Stock	08/07/2007	08/07/2007	S	500	D	\$ 26.82	146,664	D
Common Stock	08/07/2007	08/07/2007	S	1,000	D	\$ 26.83	145,664	D
Common Stock	08/07/2007	08/07/2007	S	1,984	D	\$ 26.84	143,680	D
Common Stock	08/07/2007	08/07/2007	S	1,500	D	\$ 26.85	142,180	D
Common Stock	08/07/2007	08/07/2007	S	500	D	\$ 26.87	141,680	D
Common Stock	08/07/2007	08/07/2007	S	1,000	D	\$ 26.88	140,680	D
Common Stock	08/07/2007	08/07/2007	S	1,000	D	\$ 26.89	139,680	D
Common Stock	08/07/2007	08/07/2007	S	2,516	D	\$ 26.9	137,164	D
Common Stock	08/07/2007	08/07/2007	S	1,000	D	\$ 26.92	136,164	D
Common Stock	08/07/2007	08/07/2007	S	1,000	D	\$ 26.94	135,164	D
	08/07/2007	08/07/2007	S	2,000	D		133,164	D

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Common Stock						\$ 26.95		
Common Stock	08/07/2007	08/07/2007	S	2,000	D	\$ 26.99	131,164	D
Common Stock	08/07/2007	08/07/2007	S	1,700	D	\$ 27	129,464	D
Common Stock	08/07/2007	08/07/2007	S	700	D	\$ 27.01	128,764	D
Common Stock	08/07/2007	08/07/2007	S	2,600	D	\$ 27.02	126,164	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Right to buy (Common Stock)	\$ 4.95	08/06/2007	08/06/2007	M		14,400		03/27/2003	03/27/2012	Common Stock	14,400
Right to buy (Common Stock)	\$ 4.95	08/07/2007	08/07/2007	M		29,000		03/27/2003	03/27/2012	Common Stock	29,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PRESCOTT THOMAS M C/O ALIGN TECHNOLOGY INC	X		President and CEO	

881 MARTIN AVENUE  
SANTA CLARA, CA 95050

## Signatures

Roger E. George, Atty-in-Fact for Thomas M.  
Prescott

08/08/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,291 shares acquired under the ALGN Employee Stock Purchase Plan in July 2007.

### Remarks:

Related transactions effected by Reporting Person on August 7 are reported on an additional Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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