Edgar Filing: GEORGE ROGER E - Form 4

GEORGE R Form 4	OGER E									
February 27										
FORM	14 _{UNITED}	STATES	SECU	RITIES A	AND EX(CHA	NGE C	OMMISSION		PROVAL
Check th	ha hay	Washington, D.C. 20549								3235-0287 January 31,
if no lon subject t Section Form 4 o Form 5	ger STATEN o STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								
obligatic may con <i>See</i> Instr 1(b).	ns Section 17(a	a) of the l	Public U	tility Hol		ipany	Act of	1935 or Section	1	
(Print or Type)	Responses)									
1. Name and A GEORGE F	Address of Reporting ROGER E	Person <u>*</u>	Symbol ALIGN	TECHN	l Ticker or OLOGY		0	5. Relationship of Issuer (Check	Reporting Pers	
(Last)	(Cince [ALGN] (First) (Middle) 3. Date of Earliest Transaction Director						Director	10% Owner		
C/O ALIGN	N TECHNOLOGY IARTIN AVENU	ľ	(Month/E 02/26/2	Day/Year)	luisuetton			Officer (give below)		er (specify
	(Street)			endment, Danna (2015) 2017 http://www.com/ 2017 htt	ate Original ^{r)}			6. Individual or Joi Applicable Line) _X_ Form filed by O	ne Reporting Pe	rson
SANTA CI	LARA, CA 95050							Form filed by M Person	ore than One Re	porung
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative S	Securi	ties Acqu	iired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)		ansaction Date 2A. Deemed th/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transactio Code (Instr. 8) Code V	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/26/2007	02/26/2	007	С	3,500	А	\$ 0	3,500	D	
Common Stock	02/26/2007	02/26/2	007	F	1,252	D	\$ 17.26	2,248	D	
Common Stock	02/26/2007	02/26/2	007	М	2,396	А	\$ 7.35	4,644	D	
Common Stock	02/26/2007	02/26/2	007	М	11,250	А	\$ 8.38	15,894	D	
Common	02/26/2007	02/26/2	007	S	250	D	\$ 17.06	15,644	D	

17.06

Stock

Edgar Filing: GEORGE ROGER E - Form 4

Common Stock	02/26/2007	02/26/2007	S	3,886	D	\$ 17.05	11,758	D
Common Stock	02/26/2007	02/26/2007	S	50	D	\$ 17.03	11,708	D
Common Stock	02/26/2007	02/26/2007	S	600	D	\$ 17.02	11,108	D
Common Stock	02/26/2007	02/26/2007	S	100	D	\$ 17.01	11,008	D
Common Stock	02/26/2007	02/26/2007	S	11,008	D	\$ 17	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of tiorDerivative Securities) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		rative Expiration Date (Month/Day/Year) ired (A) sposed of . 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0.0001 (1)	02/26/2007	02/26/2007	С		3,500	(2)	(2)	Common Stock	3,500
Right to Buy (Common Stock)	\$ 7.35	02/26/2007	02/26/2007	М		2,396	02/22/2005	02/22/2015	Common Stock	2,396
Right to Buy (Common Stock)	\$ 8.38	02/26/2007	02/26/2007	М		11,250	02/24/2007	02/24/2016	Common Stock	11,250

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

VP, Leg. Affrs & Gen. Counsel

GEORGE ROGER E C/O ALIGN TECHNOLOGY INC 881 MARTIN AVENUE SANTA CLARA, CA 95050

Signatures

Roger E. George

02/27/2007

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents par value of ALGN Common Stock
- 1/4th of restricted stock unit granted on February 24, 2006 became vested on February 24, 2007 and shares were delivered to Reporting(2) Person on February 26, 2007. The restricted stock unit will continue to vest as to 1/16th of the restricted stock unit each quarter, and vested shares will be delivered to Reporting Person on each vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

* If the for