

INVITROGEN CORP
Form 3
November 17, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Brust Bernd

(Last) (First) (Middle)

1600 FARADAY AVENUE

(Street)

CARLSBAD,Â CAÂ 92008

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/13/2006

3. Issuer Name and Ticker or Trading Symbol
INVITROGEN CORP [IVGN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
GM VP EU Commercial Ops

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)
(Instr. 5)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Incentive Stock Option (right to buy)	Â <u>(1)</u>	06/15/2014	Common Stock	1,168	\$ 64.17	D	Â
Incentive Stock Option (right to buy)	Â <u>(1)</u>	11/14/2015	Common Stock	60	\$ 64.51	D	Â
Incentive Stock Option (right to buy)	Â <u>(1)</u>	05/12/2016	Common Stock	1,518	\$ 65.87	D	Â
Incentive Stock Option (right to buy)	Â <u>(1)</u>	02/17/2014	Common Stock	3,606	\$ 76.24	D	Â
Incentive Stock Option (right to buy)	Â <u>(1)</u>	05/13/2015	Common Stock	1,250	\$ 76.86	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	06/15/2014	Common Stock	17,582	\$ 64.17	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	11/14/2015	Common Stock	4,940	\$ 64.51	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	05/12/2016	Common Stock	13,482	\$ 65.87	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	02/17/2014	Common Stock	13,583	\$ 76.24	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	05/13/2015	Common Stock	3,750	\$ 76.86	D	Â
Restricted Share Units	Â <u>(2)</u>	Â <u>(3)</u>	Common Stock	5,500	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brust Bernd 1600 FARADAY AVENUE CARLSBAD, CA 92008	Â	Â	Â GM VP EU Commercial Ops	Â

Signatures

Bernd Brust 11/17/2006

 Signature of
Reporting Person

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option vesting is over a 4 year period, vesting 25% on each anniversary of the grant date, contingent upon continued service to Invitrogen.

(2) The restricted share units shall vest 100% on the third anniversary of the grant date.

(3)

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Once vested, restricted share units do not expire. They can be converted into shares of Invitrogen common stock upon the termination of service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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