Thermon Group Holdings, Inc.

Form 4 July 26, 2013

## FORM 4

#### **OMB APPROVAL**

OMB

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Section 16. Form 4 or

3235-0287 Number: January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock (1)

Common

Stock (3)

07/17/2013

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person * VAN DER SALM JOHANNES RENE		ES Symbol	on Group Holdings, Inc.	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	MON DRIVE	Middle) 3. Date of	of Earliest Transaction /Day/Year)	Director 10% Owner Sylvary Officer (give title Other (specify below) below)  SVP - Global Operations			
	(Street)	4. If Am	nendment, Date Original	6. Individual or Joint/Group Filing(Check			
SAN MAR (City)	COS, TX 78666 (State)		onth/Day/Year)  ble I - Non-Derivative Securities A	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  quired, Disposed of, or Beneficially Owned			
1.Title of	2. Transaction Date		3. 4. Securities Acquire				
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction(A) or Disposed of (I Code (Instr. 3, 4 and 5)	Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)			
Common Stock (1)	07/17/2013		M 12,500 A \$ 5	2 169,546 D			
			Φ.				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

12,500 D

21.44

(2)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

157,046

8,132

D

D

#### Edgar Filing: Thermon Group Holdings, Inc. - Form 4

# displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of
Stock Option (Right to Buy)	\$ 5.2	07/17/2013		M	12,500	05/04/2011(4)	10/20/2020	Common Stock	12
Stock Option (Right to Buy)	\$ 12					05/04/2012(6)	05/04/2021	Common Stock	5
Performance Units	\$ 0					(5)	(5)	Common Stock	8

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VAN DER SALM JOHANNES RENE 100 THERMON DRIVE SAN MARCOS, TX 78666

SVP - Global Operations

### **Signatures**

/s/ Johannes vanderSalm by Sarah Alexander as attorney-in-fact

07/26/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transactions pursuant to a Rule 10b5-1 Plan.
- (2) This represents the weighted average sale price. Reporting person will, upon request, supply the SEC Staff, the Issuer or a securityholder of the Issuer full information regarding the number of securities sold at each separate price.
- (3) On August 2, 2012, the reporting person was granted 8,132 restricted stock units which vest in equal annual installments on each of the first, second and third anniversaries of the grant date.

Reporting Owners 2

#### Edgar Filing: Thermon Group Holdings, Inc. - Form 4

- (4) Options became fully vested and exercisable in connection with the Issuer's initial public offering.
  - On August 2, 2012, the reporting person was granted a performance unit award of 8,132 shares, which will vest in annual installments on March 31, 2013, March 31, 2014 and March 31, 2015 based upon the Issuer's achievement of predetermined total shareholder return
- (5) goals. The number of shares reflected on this filing represents the target award. The actual number of shares that may vest depends on the Issuer's actual performance relative to its peer group and ranges from 0% below threshold performance, 50% at threshold performance, 100% at target performance and 200% at maximum performance.
- (6) Options vest in five equal annual installments beginning on May 4, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.