

Roberts Timothy D.
Form 3
May 14, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *	2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol
Â Roberts Timothy D.	(Month/Day/Year)	Phillips 66 [PSX]
(Last) (First) (Middle)	05/09/2018	
2331 CITYWEST BLVD.		4. Relationship of Reporting Person(s) to Issuer
(Street)		5. If Amendment, Date Original Filed(Month/Day/Year)
HOUSTON,Â TXÂ 77042		
(City) (State) (Zip)		

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer ____ Other
 (give title below) (specify below)
 Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock ⁽¹⁾	18,860 ⁽¹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Expiration Date	Title Amount or Number of			

Edgar Filing: Roberts Timothy D. - Form 3

				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â (2)	04/04/2026	Common Stock	28,400	\$ 85.9732	D	Â
Employee Stock Option (Right to Buy)	Â (3)	02/07/2027	Common Stock	30,700	\$ 78.475	D	Â
Employee Stock Option (Right to Buy)	Â (4)	02/06/2028	Common Stock	25,900	\$ 94.85	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Roberts Timothy D. 2331 CITYWEST BLVD. HOUSTON, TX 77042	Â	Â	Â Executive Vice President	Â

Signatures

Amanda K. Maki as Attorney in Fact for Timothy D. Roberts 05/14/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 18,860 Restricted Stock Units (RSUs) that settle for shares of Phillips 66 common stock on a 1-for-1 basis, generally on the third anniversary of the date of grant. 6,040 RSUs will vest on February 2, 2019; 6,617 RSUs will vest on February 7, 2020; and 6,203 RSUs will vest on February 6, 2021.
- (1) The stock options become exercisable in three equal annual installments beginning on April 4, 2017.
 - (2) The stock options become exercisable in three equal annual installments beginning on February 7, 2018.
 - (3) The stock options become exercisable in three equal annual installments beginning on February 6, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.