Edgar Filing: BRICKHOUSE BRIAN S - Form 4

BRICKHOUSE BRIAN S Form 4 March 13, 2019 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or boligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Number: 2005 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Stimated average burden hours per a30(h) of the Investment Company Act of 1940 1(b). MB Number: 2005												
(Print or Type	Responses)											
BRICKHOUSE BRIAN S Symbol			r Name and Corp plc []		Tradi	ng	5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (N	(Middle) 3. Date of Earliest Transaction					(Check all applicable)					
(Month 1000 EATON BLVD. 03/12				/Day/Year) /2019				Director 10% Owner X Officer (give title Other (specify below) See Remarks below.				
				ndment, Date Original hth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City)		(Zip)	Tab	le I - Non-D)erivative	Secu	ities Aca	Person uired, Disposed of	or Beneficial	lv Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of			
Ordinary Shares	03/12/2019			Code V S	Amount 5,000	(D) D	Price \$ 80.46	(insu: 5 and 4) 14,442	D			
Ordinary Shares	03/12/2019			М	5,583	А	\$ 53.71	20,025	D			
Ordinary Shares	03/12/2019			F	3,721	D	\$ 80.57	16,304	D			
Ordinary Shares	03/12/2019			М	807	А	\$ 53.71	17,111	D			
Ordinary Shares	03/12/2019			S	807	D	\$ 80.67 (1)	16,304	D			

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Ordinary Shares	03/12/2019	М	1,925	А	\$ 51.94	18,229	D	
Ordinary Shares	03/12/2019	F	1,241	D	\$ 80.55	16,988	D	
Ordinary Shares	03/12/2019	М	6,025	А	\$ 51.94	23,013	D	
Ordinary Shares	03/12/2019	S	6,025	D	\$ 80.62 (1)	16,988	D	
Ordinary Shares						1,239.87 <u>(2)</u>	Ι	by trustee of ESP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. D Se (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 53.71	03/12/2019		М	5,583	02/22/2012	02/22/2021	Ordinary Shares	5,583	9
Stock Option	\$ 53.71	03/12/2019		М	807	02/22/2012	02/22/2021	Ordinary Shares	807	9
Stock Option	\$ 51.94	03/12/2019		М	1,925	02/21/2013	02/21/2022	Ordinary Shares	1,925	9
Stock Option	\$ 51.94	03/12/2019		М	6,025	02/21/2013	02/21/2022	Ordinary Shares	6,025	67

Reporting Owners

Reporting Owner Name / Address

Relationships

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See Remarks below.

Director 10% Owner Officer

Other

BRICKHOUSE BRIAN S 1000 EATON BLVD. CLEVELAND, OH 44122

Signatures

/s/ Lizbeth L. Wright, as Attorney-in-Fact

Date

03/13/2019

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Price reported constitutes the average weighted price of shares sold. The reporting person hereby undertakes, upon request of the(1) Commission, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- (2) These ordinary shares are held in the Eaton Savings Plan.
- (3) These options were granted on February 22, 2011, and are fully vested and exercisable.
- (4) These options were granted on February 21, 2012, and are fully vested and exercisable.

Remarks:

President - Electrical Systems and Services Group of Eaton Corporation, a subsidiary of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.