Horizon Technology Finance Corp Form 3 March 07, 2016 **FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Addres Person <u>*</u> SAVAGE JO		rting	2. Date of Ever Statement (Month/Day/Ye		3. Issuer Name <b>and</b> Ticker or Trading Symbol Horizon Technology Finance Corp [HRZN]				
(Last) (Fi	,	(Middle)	03/03/2016		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
	reet)				(Check X_ Director Officer (give title below	Other		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City) (St	tate)	(Zip)	]	Гable I - N	on-Derivati	ive Securiti	es Bei	neficially Owned	
1.Title of Security (Instr. 4)			1	2. Amount of Beneficially ( (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owner (Instr.	•	
No securities are	e benefic	ially owne	ed (	0		D	Â		
Reminder: Report or owned directly or ind	-	e line for eac	ch class of secur	ities beneficia	ally SI	EC 1473 (7-02)	)		
	informa require	tion contaid to respor	ond to the co ined in this fo nd unless the IB control nur	rm are not form displa	iys a				
Table	II - Deriv	ative Secur	ities Beneficiall	y Owned (e.g	g., puts, calls,	warrants, opt	ions, co	onvertible securities)	

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Security Direct (1	Security: Direct (D) or Indirect	

OMB APPROVAL

3235-0104

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average burden hours per

Shares

(I) (Instr. 5)

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships						
1 0	Director	10% Owner	Officer	Other				
SAVAGE JOSEPH J 312 FARMINGTON AVENUE FARMINGTON, CT 06032		Â	Â	Â				
Signatures								
/s/Joseph J. 03/0 Savage	7/2016							
<u>**</u> Signature of Reporting Person	Date							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.