### Edgar Filing: LAWSON PRODUCTS INC/NEW/DE/ - Form 3/A

#### LAWSON PRODUCTS INC/NEW/DE/

Form 3/A March 27, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

À DeCata Michael G

(Last) (First) (Middle)

C/O LAWSON PRODUCTS, INC., Â 8770 WEST BRYN MAWR AVENUE, SUITE 900

(Street)

Statement

(Month/Day/Year)

09/24/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

LAWSON PRODUCTS INC/NEW/DE/ [LAWS]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 09/24/2012

(Check all applicable)

\_X\_ Director 10% Owner \_\_X\_\_ Officer Other

(give title below) (specify below) President and CEO

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CHICAGO. ILÂ 60631

(City) (State) (Zip)

(Instr. 4)

1. Title of Security

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

> (I) (Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect

No securities beneficially owned. 0

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership Ownership

(Instr. 5)

Derivative Security:

(Instr. 4)

(Instr. 4)

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|                             | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|-----------------------------|---------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Stock Performance<br>Rights | 09/24/2015          | 09/24/2022         | Common<br>Stock | 100,000<br>(1)                   | \$ 5.96  | D  | Â |
| Stock Performance<br>Rights | 09/24/2013          | 09/24/2022         | Common<br>Stock | 100,000<br>(2)                   | \$ 5.96  | D  | Â |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                   |       |  |
|---|---------------|-----------|-------------------|-------|--|
|   | Director      | 10% Owner | Officer           | Other |  |
| DeCata Michael G<br>C/O LAWSON PRODUCTS, INC.<br>8770 WEST BRYN MAWR AVENUE, SUITE 900<br>CHICAGO, IL 60631 | ÂX            | Â         | President and CEO | Â     |  |

# **Signatures**

/s/ Neil E. Jenkins,
Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the right to receive cash in an amount equal to the appreciation in the common stock above the exercise price at the date the reporting person exercises the right.
- Represents the right to receive cash in an amount equal to the appreciation in the common stock above the exercise price at the date the (2) reporting person exercises the right. The exercise date is the later of Septemeber 24, 2013 or if the market price of the Company's common stock increases to at least \$11.96 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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